

**COAL INDIA LIMITED**  
**(A Government of India Enterprise)**



*महारत्न कंपनी*  
*A Maharatna Company*

**REQUEST FOR QUALIFICATION**

**FOR**

**SHORTLISTING OF PROSPECTIVE EQUITY PARTNERS FOR SETTING UP 4 GW  
SOLAR PV INGOT-WAFER-CELL-MODULE MANUFACTURING PLANT**

**RFQ Document No.:** CIL/CV/2021/RFQ/4993 **dated** 04-09-2021

e-Tender ID: **2021\_CILHQ\_216887\_1**



## Table of Contents

1.0	Introduction .....	3
2.0	Proposed Roles.....	5
3.0	Eligibility Criteria for Submission of RFQ .....	7
4.0	Instructions to the Interested Parties .....	10
5.0	List of Annexures.....	15
	Annexure-1: Covering Letter .....	16
	Annexure-2: General information of the Applicant .....	19
	Annexure-3: Declaration of Blacklisting / Banning .....	21
	Annexure-4: Power of Attorney for Authorized Signatory .....	22
	Annexure-5A: Details of Existence of Applicant Entity / Group in Solar Business in India ...	24
	Annexure-5B: Details of Net-worth of the Entity/Group.....	25
	Annexure-5C: Details of Revenue from Operations of the Entity/Group .....	26
	Annexure-5D: Details of Credit Rating of the Entity/Group .....	27
	Annexure-5E: Details of Applicant's existing or under development Manufacturing Capacity .....	28
	Annexure-5F: Details of Applicant's Solar Power Projects in pipeline under EPC/Developer Mode.....	29
	Annexure-6: Suggestions on Proposed Roles.....	30
	Annexure-7: Preference of Equity Shareholding by the Applicant .....	31
	Annexure-8: Proforma for Undertaking.....	32



## 1.0 Introduction

- 1.1 Coal India Limited (CIL) the state owned coal mining corporate came into being in November 1975. CIL functions through its subsidiaries in 85 mining areas spread over eight (8) states of India. Coal India Limited has 345 mines (as on 1st April, 2021) of which 151 are underground, 172 opencast and 22 mixed mines.
- 1.2 CIL Produces around 83% of India's overall coal production. In India where approximately 57% of primary commercial energy is coal dependent, CIL alone meets to the tune of 40% of primary commercial energy requirement. The share of coal is expected to remain high at 48-54% till 2040 and accounts for 76% of total thermal power generating capacity of the Utility sector. CIL further operates 13 coal washeries (11 coking coal and 2 non-coking coal).
- 1.3 CIL is a Maharatna company – a privileged status conferred by Government of India to select state owned enterprises in order to empower them to expand their operations and emerge as global giants. CIL has seven producing subsidiaries namely Eastern Coalfields Limited (ECL), Bharat Coking Coal Limited (BCCL), Central Coalfields Limited (CCL), Western Coalfields Limited (WCL), South Eastern Coalfields Limited (SECL), Northern Coalfields Limited (NCL) and Mahanadi Coalfields Limited (MCL) and one mine planning and consultancy company that is Central Mine Planning & Design Institute (CMPDI). In addition, CIL has a foreign subsidiary in Mozambique namely Coal India Africana Limitada (CIAL). The mines in Assam i.e. North Eastern Coalfields is managed directly by CIL.
- 1.4 The Government of India (GoI) has propelled up the solar energy penetration in the energy mix of the country. The government, through Ministry of New and Renewable Energy (MNRE) has taken up a target of 450 GW of renewable energy target by 2030, out of which around 280 GW is targeted to come from Solar. Augmentation of domestic solar PV manufacturing capacity will facilitate the Indian solar power developers to meet the national target. This will also help in reducing import dependency and aid in fulfilling the government's goal of 'Atmanirbhar Bharat' (i.e. self-reliant India).
- 1.5 In line with the government's vision, the Ministry of Coal (MoC) has mandated CIL to take up de-carbonization and diversification initiatives. CIL, in accordance with the mandate, has planned to establish a 4 GW solar PV ingot-wafer-cell-module manufacturing plant as part of its diversification initiatives. CIL already has formed a special purpose vehicle (SPV) named CIL Solar PV Limited as a wholly-owned subsidiary of CIL to take up the proposed solar PV manufacturing project.
- 1.6 CIL has conducted a detailed market assessment exercise to evaluate the industry landscape. CIL has subsequently undertaken a pre-feasibility study for overall financial assessment of the proposed project. CIL is in advance stage of discussions with selected states in India for site identification and finalization. Discussions are also going on with the selected states for state-level financial incentives and facilitations like, availability of land, power, water, connectivity infrastructure, clearances / approvals, etc.
- 1.7 The MNRE has announced a Production Linked Incentive (PLI) scheme to grant



- financial assistance to the solar PV manufacturers in India. The Gol has floated a tender for selection of beneficiaries under the said PLI scheme. CIL is undertaking necessary and preparatory steps for participating in the said PLI tender. The tender provides for formalizing the consortium in the form of an SPV within ninety (90) days of issuance of Letter of Award (LoA).
- 1.8 CIL plans to associate with Equity Partner to implement and operate the said 4 GW solar PV ingot-wafer-cell-module manufacturing project. Parties who are interested and willing to be associated with CIL for the said project are invited to submit their Request for Qualification (RFQ).
  - 1.9 Expected roles of the Equity Partner are explained in the next chapter of this RFQ.
  - 1.10 Purpose of this RFQ is to seek interest from the prospective parties for collaborating with CIL for its proposed solar PV manufacturing project and to shortlist potential players from amongst the applicants for consideration in the next stage of selection. Based on the responses received from this RFQ, CIL may run a subsequent selection process, wherein discussions may be held with the shortlisted players on the contour and broad terms of the proposed partnership upon sharing of project-specific information by CIL to the shortlisted players on confidential basis after signing of Non-Disclosure Agreement (NDA), followed by a Request for Proposal (RFP) process for final selection of the equity partner from amongst the shortlisted players.
  - 1.11 The statements and explanations contained in this RFQ are intended to provide a better understanding to the Applicants about the subject matter of this RFQ and should not be construed or interpreted as limiting in any way or manner the scope of services and obligations of the Equity Partner as may be specified in the tendering process or any subsequent agreement later on; or CIL's rights to amend, alter, change, supplement or clarify the scope of work and obligations of the Equity Partner, or the terms herein contained. Consequently, any omissions, conflicts or contradictions in this RFQ are to be noted, interpreted and applied appropriately to give effect to this intent, and no claims on that account shall be entertained by CIL.
  - 1.12 CIL shall receive submission pursuant to this RFQ in accordance with the terms set forth in this RFQ, and all Proposal shall be prepared and submitted in accordance with such terms on or before the date specified in this document for submission of RFQ.
  - 1.13 For detailed profile of company and past financial results, bidders may visit the CIL website: <https://www.coalindia.in/>



## 2.0 Proposed Roles

### 2.1 Outline of proposed roles for the Equity Partner:

The indicative roles for the Equity Partner shall include (but not limited to) the following. The roles shall be finalized once CIL goes for tendering for partner selection.

- a) Equity investment in the proposed 4 GW solar PV ingot-wafer-cell-module manufacturing project.
- b) Selection of technology partner(s) or licensor(s) / engineering consultant(s) / environmental consultant(s) / EPC contractor(s) / equipment supplier(s) / O&M agency(ies), as applicable, for the project, in collaboration with CIL.
- c) Selection of technology for the proposed project in consultation with CIL.
- d) Securing project financing in collaboration with CIL.
- e) Monitoring and reviewing the project development activities and ensuring project execution as per timelines, in collaboration with CIL.
- f) In coordination with CIL, review and manage the works of the engineering and environmental consultant(s) and contractor(s).
- g) Management of plant O&M, quality assurance, and procurement of raw materials, consumables, tools / spares / accessories, in coordination with CIL.
- h) Marketing the products of the plant in collaboration with CIL with an option for captive use at suitable price for utilization by the parties (i.e. Equity Partner and CIL) or their affiliates, as the case may be.
- i) In coordination with CIL, hiring of manpower for the project and capacity building of existing manpower of CIL which may be deployed for the project.
- j) In coordination with CIL, ensuring the best standards of environment, health and safety parameters in compliance with prevailing norms while maintaining the quality in line with the industry best practices.
- k) Research and development (R&D) activities to track new technology developments and identify the scope for upgradation of existing plant and machineries with the aim to improve product quality, in collaboration with CIL.

### 2.2 Proposed roles of CIL:

- a) Equity investment in the proposed 4 GW solar PV ingot-wafer-cell-module manufacturing project.
- b) Acquisition of land for the project.
- c) Obtaining all the statutory approvals / clearances for the project.
- d) Facilitate the availability of the utilities, connectivity, and other facilitating infrastructure for the plant.
- e) Support in liaison with the central and state governments in obtaining incentives and other facilitation, as required.
- f) Liaison with central and state government for policy advocacy, facilitation in marketing and promotional activities for the product.
- g) In collaboration with the Equity Partner, selection of contractor(s) / consultant(s) / vendor(s) as applicable, securing project financing, management of project



execution/implementation, O&M, quality assurance, procurement, marketing, R&D, etc.

- 2.3 Detailed roles shall be specified by CIL during the subsequent tendering stage for selection of the Equity Partner.



### 3.0 Eligibility Criteria for Submission of RFQ

3.1 RFQ of the Applicants meeting all of the following eligibility criteria shall only be shortlisted by CIL for the next stage of selection process. The Applicant shall be liable to disqualification if it has made misleading or false representation or has deliberately suppressed the information in the forms, statements and enclosures required in the RFQ.

#### 3.2 General Eligibility Criteria:

- a) The Applicant shall be an entity, where entity means a body corporate incorporated in India.
- b) The Applicant entity (or immediate or ultimate holding company or parent company or any group company of the applicant herein referred to as "Applicant Group") must be in existence in solar business in India for a minimum period of last five (5) consecutive financial years just preceding the financial year in which the application has been submitted.
- c) The Applicant entity must have PAN/ Income Tax registration and GSTIN.
- d) The Applicant entity shall not be under corporate insolvency resolution process.
- e) The Applicant entity should not be currently debarred or blacklisted by GoI or CPSEs of GoI. It should not have employed any public servant dismissed or person convicted for an offence involving corruption or abetment of such offences. Director(s) of the Applicant entity should not have been convicted by any court of law for offences involving corrupt and fraudulent practices including moral turpitude in relation to business dealings with the Government of India or CIL or CIL's subsidiaries during the last five (5) financial years just preceding the financial year in which the application has been submitted.

#### 3.3 Financial Eligibility Criteria:

- a) The net worth of the Applicant shall be minimum Rs. 2,000 Crores as of the latest audited balance sheet which shall not be older than eighteen (18) months immediately preceding the due date of submission of this RFQ.

**Explanation:** Net worth means the aggregate value of the paid-up share capital and all reserves created out of the profits and securities premium account, after deducting the aggregate value of the accumulated losses, deferred expenditure and miscellaneous expenditure not written off, as per the audited financial statements, but does not include reserves created out of revaluation of assets, write-back of depreciation and amalgamation.

- b) Revenue from Operations of the Applicant in any of last three financial years (FY 2019, 2020 and 2021) shall be greater than Rs. 3,000 Crores. If audited financials of FY 2021 are not available, then audited financials of FY 2018, 2019 and 2020 shall be provided by the Applicant along with provisional financials of FY 2021 (if available).

**Explanation:** Revenue from Operations here means the Operating revenue that a company generates from its primary business activities and it shall not include other income of the Applicant.



- c) The credit rating of the Applicant shall be A- or above which shall not be older than eighteen (18) months immediately preceding the due date of submission of this RFQ.

**Note to Financial Eligibility Criteria:** If the Applicant entity does not meet the Financial Eligibility Criteria, then consolidated financials and credit rating of the immediate or ultimate holding company of the Applicant entity can be used to fulfil the Financial Eligibility Criteria.

#### 3.4 **Technical Eligibility Criteria:**

- a) The Applicant entity or Applicant Group shall have both solar cell and module manufacturing plants, operational or under-development, in India with minimum production capacity of 100 MW/annum.

**Explanation:** The phrase 'under-development' implies that the site work should at least have commenced for the referred project. The said under-development project shall not be linked to GoI's earlier manufacturing-linked tenders or SIPS/M-SIPS program, and the said under-development project shall be eligible under the MNRE's PLI scheme.

- b) The Applicant entity or Applicant Group shall have solar projects in pipeline in EPC or Developer mode.
- c) The Applicant entity or Applicant Group shall have the intent to enter into polysilicon and ingot-wafer manufacturing business in India.

#### 3.5 **Documentary Evidence and Forms**

Self-attested copy of documents mentioned below must be submitted along with application as documentary evidence:

- i) GSTIN Registration.
- ii) PAN Card or Income Tax registration proof.
- iii) Certificate of Incorporation in case Applicant is a company.
- iv) Registered Partnership Deed in case Applicant is a partnership firm.
- v) Memorandum of Association (MoA) and Articles of Association (AoA).
- vi) Any suitable / relevant documentary evidence in support of existence of the Applicant entity or Applicant Group in solar business in India as per criteria mentioned in **clause 3.2**.
- vii) Audited Financial Statements of the Applicant entity and additionally the Applicant Group (in case the financials of Applicant Group are cited for eligibility as per **clause 3.3**) of last 3 financial years (FY 2019, 2020 and 2021). If audited financials of FY2021 is not available then audited financials of FY 2018, 2019 and 2020 shall be provided along with provisional financials of FY2021 (if available).
- viii) A certificate from the statutory auditor certifying the net worth as per criteria mentioned in **clause 3.3**.





- ix) Credit rating report by a rating agency as per criteria mentioned in **clause 3.3**.
- x) Any suitable / relevant documentary evidence in support of Applicant entity or Applicant Group's operation or under-development solar cell-module manufacturing project as per criteria mentioned in **clause 3.4**.
- xi) Any suitable / relevant documentary evidence in support of Applicant entity or Applicant Group's solar projects in pipeline in EPC / Developer mode as per criteria mentioned in **clause 3.4**.

In addition to the above, the Applicant need to furnish the following:

- xii) Covering Letter as per Annexure-1.
- xiii) The information about the Applicant should be furnished as per format provided in Annexure-2.
- xiv) Declaration on blacklisting / banning should be furnished as per format provided in Annexure-3.
- xv) Power of Attorney as per Annexure-4 backed by copy of Board Resolution.
- xvi) Financial information and experience details as per Annexure-5A to 5F.
- xvii) Suggestion on Proposed Roles as per Annexure-6.
- xviii) Preference on equity shareholding as per Annexure-7.
- xix) Proforma for Undertaking as per Annexure-8.



## 4.0 Instructions to the Interested Parties

4.1 This section on 'Instructions to the Interested Parties' should be read in conjunction with the other parts of this RFQ document. Although details presented in this section have been compiled with all reasonable care, it is Applicant's responsibility to satisfy itself that the information / documents are adequate and that there is no conflict between the stipulations contained in this section and other parts of this Application document. No dispute or claims shall be entertained on this account. Preparation of the Application is the responsibility of the Applicant and no relief or consideration can be given for errors and omissions.

### 4.2 **Obtaining the Application Documents:**

- a) A complete set of RFQ Documents may be downloaded free of cost by any interested applicant from CIL's website (<https://www.coalindia.in>).
- b) Interested parties should download the complete document, and read carefully before filling the details and submitting the requisite documents.

### 4.3 **Requirements for participation in e-tenders**

- a) The Applicant will have to submit the Application online at CIL's e-Tender Portal (<https://coalindiatenders.nic.in>). Offline submission will not be acceptable.
- b) In order to submit the online Application on CIL's e-Tender portal, the Applicant should meet the following requirements:
  - i) Personal Computer connected with internet (for details, please visit the home page of CIL's e-Tender portal <https://coalindiatenders.nic.in>).
  - ii) It will be the Applicant's responsibility to comply with the system requirement i.e. hardware, software and internet connectivity at Applicant's premises to access the e-Procurement portal. Under no circumstances, CIL shall be liable to the Applicants for any direct/indirect loss or damages incurred by them arising out of incorrect use of the e-Procurement portal or internet connectivity failures.

### 4.4 **Online enrolment / registration with CIL's e-Procurement portal**

- a) The interested Applicant should enroll / register himself at the CIL's e-Tender Portal (<https://coalindiatenders.nic.in>) in order to participate in the application process.
- b) Online enrolment/registration of the Applicants on the e-Tender portal is free of cost and one-time activity only. The registration should be in the name of the Applicant in its own style and name. Digital Signature Certificate (DSC) holder, who is registered on behalf of the Applicant for submitting the Applicant documents, under his digital signatures in the e-Tender portal must be Applicant's duly authorized person, with valid DSC as per clause below. It shall be the responsibility of the Applicants to ensure that they get registered with the CIL's e-Tender portal well in advance and download the Applicant document before the last date and time for the same.
- c) Digital Signature Certificate (Class II or Class III) must be from any Certifying



Authority authorized by Controller of Certifying Authority, Government of India (CCA) and which can be traced up to the chain of trust to the root certificate of CCA.

#### 4.5 Help for participating in e-tender

- a) The detailed method for participating in the e-Tender is available on links “Help for Contractor” and “Bidders Manual Kit” in CIL’s e-Tender portal. The Applicants may also seek help from the help-desk on 24x7 Toll Free No. 0120- 4200462, 0120-4001002 and additional mobile nos. +91- 8826246593 and +919903079800. All queries will be answered in English / Hindi only.

#### 4.6 Contents of the Application

- a) The language for submission of RFQ shall be English.
- b) The person signing the application and submitting on behalf of the Applicant shall enclose Power of Attorney duly authorized and notarized for the same as per format provided in Annexure-4. The Power of Attorney shall be backed by copy of the Board Resolution of the Applicant entity.
- c) The information furnished with the RFQ must be sufficient for processing and assessment. CIL holds the right to reject any response in case the information furnished in the RFQ is incomplete or inadequate.
- d) The enclosed attachments shall be filled in completely and wherever not applicable it should be written as “Not Applicable”. Applicants should furnish the required information and desist from writing “shall be furnished later” or submitting the blank form.
- e) In case the Applicant intends to give additional information for which specified space in the given attachment is not sufficient, it can be furnished by additional sheets.
- f) All the pages of the submitted RFQ and attachments should be signed and corrections and over writings should be countersigned by the authorized signatory.
- g) Applicants are required to digitally sign each page of this RFQ Document and submit the same along with their Application as a confirmation of acceptance this RFQ Document.
- h) The Applicant should submit an undertaking as per Format provided in Annexure-8.
- i) The Applicant should submit a declaration of blacklisting/ banning as per Format provided in Annexure-3.
- j) All costs incurred by Applicant for preparing and submitting the RFQ document, in providing clarification or any other expenses whatsoever shall be borne by Applicants themselves, regardless of the conduct or outcome of the partner selection process.

#### 4.7 Online Submission of Applications

- a) The Applicant will submit his application online at CIL e-Tender Portal



(<https://coalindiatenders.nic.in>) after carefully examining the documents.

- b) The application shall be submitted through e-tender mode. No offline submission shall be acceptable.
- c) Applicant may note that mere submission of filled in RFQ response and/or submission of additional information do not automatically entitle him to claim for shortlisting for subsequent process. CIL at its sole discretion may invite or modify or annul the process without assigning reason whatsoever.
- d) Applicants shall ensure that documents uploaded is being downloaded properly. CIL shall not be responsible for corrupted files, if any, uploaded online. Further file related to particular Attachment/Schedule including their annexures, if any, shall be given name of that Attachment/Schedule only.
- e) Applications shall be hosted/ uploaded on the system as per time lines and the schedule specified on the tender notification.

#### 4.8 **Deadline for Submission of Applications**

- a) RFQ must be submitted in the eProcurement Portal only, no later than the time and date stated in the Schedule in **clause 4.13**.
- b) CIL may, at its discretion, extend this deadline for submission of RFQ by amending the RFQ document in accordance with **clause 4.10**, in which case all rights and obligations of CIL and Applicants will thereafter be subject to the deadline as extended.

#### 4.9 **Clarifications sought by the Applicant:**

- a) The Applicant can seek clarifications on any matter pertaining to this Applicant document by submitting its queries online on the e-Tender portal within the timeline specified in **clause 4.13**.
- b) CIL will upload its reply to the clarifications on the e-Tender portal.

#### 4.10 **Amendment to the RFQ document:**

- a) At any time prior to the deadline for submission of RFQ, CIL may, for any reason, whether at its own initiative, or in response to a clarification requested by any Applicant, amend the RFQ document.
- b) The amendments will be uploaded on the website of CIL. The amendments will be binding on the Applicants and it will be assumed that the information contained therein have been taken into account by the Applicant in its RFQ.
- c) In order to afford prospective Applicants reasonable time in which to take the amendment into account in preparing their response, CIL may, at its discretion, extend the deadline for the submission of RFQ.
- d) Applicants are advised to check regularly the CIL e-Tender Portal for updates.

#### 4.11 **Opening of Applications:**

- a) The submitted RFQ will be decrypted on-line and will be opened by CIL on the pre-scheduled date and time specified in this RFQ.
- b) Applicant's attendance during the RFQ-opening at CIL Premises is not

envisaged.

- c) Applications which are complete, complying and responsive to the requirements of the RFQ Document shall only be considered for evaluation.

**4.12 Clarifications sought by CIL at the time of Evaluation:**

- a) During the evaluation of the submitted RFQ, CIL may, at its discretion, ask the Applicant for clarification in regard to the information furnished by the Applicant in its RFQ including documentary evidence. The request for clarification and the response shall be in writing and no change in the substance of the RFQ shall be sought, offered or permitted.

**4.13 Schedule:**

- a) A summary of the proposed schedule for RFQ is shown below. CIL reserves the right to amend the RFQ process and amend the schedule at any stage.

Event	Date / Time
Issuance of RFQ document	September 4, 2021
Last date and time for downloading the RFQ document by the Applicants	September 16, 2021 (12.00 hrs)
Last date and time for submission of queries / request for clarifications by the Applicants (Clause 4.9)	September 10, 2021 (12.00 hrs)
Last date and time of submission of RFQ by Applicants	September 16, 2021 (15.00 hrs)
Date and Time for opening of RFQ responses by CIL	September 17, 2021 (15.00 hrs)

**4.14 Right to Accept or Reject Application:**

- a) Notwithstanding anything contained in this RFQ, CIL reserves the right to accept or reject any RFQ response or annul the process or reject all RFQ at any time without any liability or any obligation for such acceptance, rejection or annulment without assigning any reasons.

**4.15 Contact Details:**

Tender inviting authority	Contact Person(s) / Tender Dealing Officer(s)
Executive Director (Corporate Affairs), Coal Videsh & International Cooperation Division, CIL	1. Mr. Sagar Sen, Chief Manager (Mining), Coal Videsh & International Cooperation Division, CIL email: <a href="mailto:ssen2.cil@coalindia.in">ssen2.cil@coalindia.in</a> Ph: +91-33-7110-4656 Mob: +91-9433863605  2. Mr. Kiran Menon, Deputy Manager (Environment), Coal Videsh & International Cooperation Division, CIL email: <a href="mailto:kiran.menon@coalindia.in">kiran.menon@coalindia.in</a> Ph: +91-33-7110-4650 Mob: +91-9831691021



**4.16 Corrupt or Fraudulent Practices:**

- a) CIL requires that Applicants observe the highest standard of ethics during the RFQ process. In pursuance of this policy, CIL:
  - i. Defines, for the purposes of this provision, the terms set forth below as follows:
    - “corrupt practice” means the offering, giving, receiving or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution; and
    - “fraudulent practice” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of CIL, and includes collusive practice among Applicants (prior to or after submission of RFQ) and to deprive CIL of the benefits of free and open competition;
  - ii. will reject an RFQ response if it determines that the Applicant has engaged in corrupt or fraudulent practices;
  - iii. will declare a firm ineligible, either indefinitely or for a stated period of time, if it at any time determines that the firm has engaged in corrupt or fraudulent practices.
- b) Interested party, Applicant or bidder means the entity participating or intending to participate in this process, namely, REQUEST FOR RFQ: SHORTLISTING OF PROSPECTIVE EQUITY PARTNERS FOR SETTING UP 4 GW SOLAR PV INGOT-WAFER-CELL-MODULE MANUFACTURING PLANT, as the case may be, shall respectively be referred as Applicant or Bidder.
- c) Applicable Law and Jurisdiction: This RFQ document shall be construed in accordance with the applicable laws of India. The Courts at Kolkata shall have exclusive jurisdiction in any proceedings arising out of this document.



## 5.0 List of Annexures

**Annexure-1:** Covering Letter

**Annexure-2:** General information of the Applicant

**Annexure-3:** Declaration of blacklisting / banning

**Annexure-4:** Power of Attorney for authorized signatory

**Annexure-5A:** Details of Existence of Applicant Entity / Group in Solar Business in India

**Annexure-5B:** Details of Net worth of the Entity/Group

**Annexure-5C:** Details of the Revenue from Operations of the Entity/Group

**Annexure-5D:** Details of the Credit Rating of the Entity/Group

**Annexure-5E:** Details of Applicant's existing or under development Manufacturing Capacity

**Annexure-5F:** Details of Applicant's Solar Power Projects in pipeline under EPC/Developer Mode

**Annexure-6:** Suggestions on proposed roles

**Annexure-7:** Preference of Equity Shareholding by the Applicant

**Annexure-8:** Proforma for Undertaking



## Annexure-1: Covering Letter

(On Applicant's letterhead)

Ref.:

Date:

Place:

To,

ED (Corporate Affairs)  
Coal Bhawan,  
Premise No-04 MAR,  
Plot No-AF-III, Action Area-1A,  
Newtown, Rajarhat, Kolkata-700156  
Email: - [edca.cil@coalindia.in](mailto:edca.cil@coalindia.in)

**Subject:** Submission of RFQ for SHORTLISTING OF PROSPECTIVE EQUITY PARTNERS FOR SETTING UP 4 GW SOLAR PV INGOT-WAFER-CELL- MODULE MANUFACTURING PLANT

**RFQ Reference:** CIL/CV/2021/RFQ/4993 **dated** 04-09-2021

**e-Tender ID:** 2021\_CILHQ\_216887\_1

Dear Sir,

We hereby submit our RFQ (Request for Qualification) for "SHORTLISTING OF PROSPECTIVE EQUITY PARTNERS FOR SETTING UP 4 GW SOLAR PV INGOT-WAFER-CELL-MODULE MANUFACTURING PLANT" in accordance to the Request for Qualification Document No..... of Coal India Ltd. (CIL).

We hereby confirm the following:

The RFQ for "SHORTLISTING OF PROSPECTIVE EQUITY PARTNERS FOR SETTING UP 4 GW SOLAR PV INGOT-WAFER-CELL-MODULE MANUFACTURING PLANT" is being submitted by \_\_\_\_\_ (Name of the Applicant Entity who is the Applicant in accordance with the conditions stipulated in the RFQ document)

We have examined in detail and have understood, and abide by, all the terms and conditions stipulated in the RFQ document and in any subsequent communication sent by CIL. We have submitted our suggestions, if any, on the proposed roles as per format stipulated in the RFQ document. Our RFQ is consistent with all the requirements of submission as stated in the RFQ document or in any of the subsequent communications from CIL.

The information submitted in our RFQ is complete and is strictly as per the requirements as stipulated in the RFQ document and is correct to the best of our knowledge and understanding. We would be solely responsible for any errors or omissions in our said application. If any





information, document or declaration submitted in/with our said application is found to be incorrect at a later date, we indemnify CIL against any loss due to this and CIL may take any action as deemed fit.

We hereby designate Mr./Ms. \_\_\_\_\_ (mention name and designation), as our Authorized Signatory and Contact Person who is authorized to perform on behalf of the Applicant all tasks including, but not limited to submitting RFQ, providing information, responding to enquiries etc. in respect of our RFQ.

**Signed for and on behalf of**

**(Sign. & Seal of Authorized Signatory)**

Enclosures – As per the list of documents given below:

Sl. No.	Annexure as per RFQ Document	Description	Documents Submitted
1.	--	Digitally signed copy of RFQ document (as per <b>clause 4.6</b> )	Yes/No
2.	Annexure-1	Covering Letter	Yes/No
3.	Annexure-2	General information of the Applicant	Yes/No
4.	Annexure-3	Declaration of Blacklisting / Banning	Yes/No
5.	Annexure-4	Power of Attorney for authorized signatory	Yes/No
6.	--	Board Resolution (as per <b>clause 4.6</b> )	Yes/No
7.	--	Self-attested copy of Audited Financial Statements (as per <b>clause 3.5</b> )	Yes/No
8.	--	Self-attested copy of GSTIN Registration (as per <b>clause 3.5</b> )	Yes/No
9.	--	Self-attested copy of PAN Card/ Income Tax registration proof (as per <b>clause 3.5</b> )	Yes/No
10.	--	Self-attested copy of Certificate of Incorporation in case Applicant is a company (as per <b>clause 3.5</b> )	Yes/No
11.	--	Self-attested copy of Registered Partnership Deed in case Applicant is a partnership firm (as per <b>clause 3.5</b> )	Yes/No
12.	--	Copy of Memorandum of Association (MoA) and Articles of Association (AoA)	Yes/No



Sl. No.	Annexure as per RFQ Document	Description	Documents Submitted
		(as per <b>clause 3.5</b> )	
13.	Annexure-5A	Details of Existence of Applicant Entity / Group in Solar Business in India	
14.	Annexure-5B	Details of Net-worth of the Entity/Group	Yes/No
15.	Annexure-5C	Details of Revenue from Operations of the Entity/Group	Yes/No
16.	Annexure-5D	Details of Credit Rating of the Entity/Group	Yes/No
17.	Annexure-5E	Details of Applicant's existing or under development Manufacturing Capacity	Yes/No
18.	Annexure-5F	Details of Applicant's Solar Power Projects in pipeline under EPC/Developer Mode	Yes/No
19.	--	Other documentary evidences as per <b>clause 3.5</b>	Yes/No
20.	Annexure-6	Suggestions on proposed roles	Yes/No
21.	Annexure-7	Preference of Equity Shareholding by the Applicant	Yes/No
22.	Annexure-8	Proforma for Undertaking	Yes/No



## Annexure-2: General information of the Applicant

(On Applicant's letterhead)

<b>1</b>	<b>Applicant Entity Details</b>	
1.1	Full Legal Name of Applicant's entity	
1.2	Legal Status of the Applicant's entity	Public Ltd. Co. / Pvt. Ltd. Co. / Any other status as applicable
1.3	CIN	
1.4	Registration No.	
1.5	Year of Registration	
1.6	Registered Office Address	
1.7	Telephone Number	
1.8	Fax Number	
1.9	e-mail Address	
1.10	PAN/ Income Tax registration Number	
1.11	Principal Place of Business	
1.12	GSTIN	
1.13	Number of years in solar business in India (for Applicant entity of Applicant Group)	
1.14	Whether the Applicant has furnished documentary evidence for sl. no. 1.13 above along with the RFQ response?  If no, give reason(s)	Yes / No  Reason if answer is 'No'
<b>2</b>	<b>Details of Personnel authorized for signing the RFQ</b>	
2.1	Name	
2.2	Designation	
2.3	Address	
2.4	Mobile Number	



2.5	e-mail ID	
2.6	Does the application contain the Board Resolution / Power of Attorney, which empowers the person or persons to sign the application?  If no, give reason(s)	Yes / No  Reason if answer is 'No'
3	<b>Details of Personnel registered in CIL e-Tender Portal</b> (The details provided here shall be verified from CIL e-Tender portal, i.e. <a href="https://coalindiatenders.nic.in">https://coalindiatenders.nic.in</a> )	
3.1	e-mail ID	
3.2	Name	
3.3	Designation	
3.4	Address	
3.5	Mobile Number	

**(Sign. & Seal of Authorized Signatory)**

**Note:** CIL reserves the right to seek additional documentary evidence from applicants in support of their respective statements.

The applicant may use additional page to furnish details if the space provided in this form is inadequate.



## Annexure-3: Declaration of Blacklisting / Banning

(On Applicant's letterhead)

- 1) We hereby declare the following:
  - a) We have not been Banned/Blacklisted as on date of submission of RFQ by any Government / Government department / public sector entity.
  - b) We have not employed any public servant dismissed or person convicted for an offence involving corruption or abetment of such offences.
  - c) Our Director(s) have not been convicted by any court of law for offences involving corrupt and fraudulent practices including moral turpitude in relation to business dealings with Government of India or CIL or CIL's subsidiaries during the last five (5) financial years just preceding the financial year in which the application has been submitted.
- 2) We further declare as under:

That if any information / data furnished by us is found to be incorrect or false or misleading at any point of time, it would render us liable to be debarred from subsequent tendering of CIL or taking up of work in CIL, and CIL shall have the full right to take any action as per applicable laws.

Signed for and on behalf of

(Sign. & Seal of Authorized Signatory)

Date:

Place:



## Annexure-4: Power of Attorney for Authorized Signatory

(TO BE SUBMITTED ON NON-JUDICIAL STAMP PAPER OF MINIMUM VALUE OF RS.10/- DULY NOTARIZED)

Know all men by these presents, We, *[name of entity and address of the registered office]* do hereby constitute, nominate, appoint and authorise Mr. / Ms.*[name]*, son/ daughter/ wife of *[name]*, and presently residing at *[address]*, who is presently employed with/ retained by us and holding the position of *[designation]* as our true and lawful attorney (hereinafter referred to as the "Authorised Representative"), to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in connection with or incidental to submission of our RFQ for SHORTLISTING OF PROSPECTIVE EQUITY PARTNERS FOR SETTING UP 4 GW SOLAR PV INGOT-WAFER-CELL- MODULE MANUFACTURING PLANT of Coal India Ltd (the "Client") and its Subsidiaries, including but not limited to signing and submission of the RFQ and other documents and writings, seeking clarifications from the Client, providing information/ responses to the Client, representing us in all matters before the Client, and generally dealing with the Client in all matters in connection with or relating to or arising out of our submitted RFQ.

AND, we do hereby agree to ratify and confirm all acts, deeds and things lawfully done or caused to be done by our said Authorised Representative pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Authorised Representative in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE, *[name of entity]*, THE ABOVE NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS *[date in words]* DAY OF *[month]* *[year in "yyyy" format]*.

For *[name and registered address of entity / Lead member of consortium]*

*[Signature]*

*[Name]*

*[Designation]*

Witnesses:

1. *[Signature, name and address of witness]*

2. *[Signature, name and address of witness]*

Accepted

*[Signature]*

*[Name]*

*[Designation]*

*[Address]*



Notes:

1. The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executants(s) and when it is so required the same should be under seal affixed in accordance with the required procedure.
2. Wherever required, the Applicant should submit for verification the extract of the charter documents and other documents such as a resolution / power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Applicant.



## Annexure-5A: Details of Existence of Applicant Entity / Group in Solar Business in India

**(On Applicant's letterhead)**

Kindly provide a brief write-up on the Applicant's (entity or Group) experience in solar business in India.

**Note:**

- 1) The Applicant shall furnish suitable / relevant documentary evidence in support of its presence in solar business in India as per **clause 3.5**.





## Annexure-5B: Details of Net-worth of the Entity/Group

Consolidated Net Worth of the Applicant or the Applicant Group (in Rs. Crores) as of the latest audited balance sheet which is not older than eighteen (18) months immediately preceding the due date of submission of this RFQ.

Name of the Applicant: [•]

Name of the group of which the Applicant is a constituent: [•]

Net worth of the Applicant entity or Applicant Group as of the last audited balance sheet which is not older than eighteen (18) months immediately preceding the due date of submission of this RFQ: [•]

*Net worth means the aggregate value of the paid-up share capital and all reserves created out of the profits and securities premium account, after deducting the aggregate value of the accumulated losses, deferred expenditure and miscellaneous expenditure not written off, as per the audited financial statements, but does not include reserves created out of revaluation of assets, write-back of depreciation and amalgamation.*

### Note:

- (1) To be provided in the form of a certificate from the statutory auditor.
- (2) Supporting documents to be submitted: Latest annual report of the Applicant or consolidated audited annual report of the Applicant Group
- (3) In the event that the financial statements are recorded in any currency other than INR, such Applicant will need to provide the Net Worth in INR converted in accordance with the reference rate of the Reserve Bank of India (published on its official website) as of the last date of the relevant financial year. In the event, the Reserve Bank of India did not publish the reference rate for such date with respect to any currency in which the financial statements are recorded for any Applicant, such Applicant will be required to specify the aforesaid amounts in INR converted in accordance with the currency conversion rate last published by the Reserve Bank of India in such year.



## Annexure-5C: Details of Revenue from Operations of the Entity/Group

(On Applicant's letterhead)

Details of the Revenue from Operations of the Applicant Entity/Group:

#	Name of Entity/ group	Financial Year	Revenue from Operations	Whether documentary evidence have been furnished in the RFQ as per clause 3.5 (Yes / No) Furnish reason if answer is 'No'
1				
2				
3				

*Revenue from Operations here means the Operating revenue that a company generates from its primary business activities and it shall not include other income of the Applicant.*

(Sign. & Seal of Authorized Signatory)

Place:

Date:



## Annexure-5D: Details of Credit Rating of the Entity/Group

(On Applicant's letterhead)

Latest credit rating (with respect to the long-term borrowings) of the Applicant/Applicant Group as on the date not earlier than eighteen (18) months immediately preceding the due date of submission of this RFQ.

Name of the Applicant: [•]

Credit rating of the Applicant: [•]

Name of the credit rating agency: [•]

Date of the credit rating report: [•]

**Note:**

(1) Supporting documents to be submitted: Latest credit rating report not older than 18 months immediately preceding the due date of submission of this RFQ from any of the following rating agencies-

- CRISIL
- ICRA
- CARE
- India Rating
- Moody's
- Fitch
- S&P



## Annexure-5E: Details of Applicant's existing or under development Manufacturing Capacity

(On Applicant's letterhead)

Details of the Solar PV Manufacturing project(s) commissioned or operated or under development by the Applicant:

#	Name of the solar PV manufacturing project / plant	Full address of the plant	Product produced from the plant (Wafer / Cell / Module)	Annual production capacity (MW)	Product overview (refer Note 1 below)	Date of commencement of commercial production	Current status of the plant (operational / under-development)	Current Stage of development and Expected Date of commencement of commercial production (in case on under-development plant)	Year-wise capacity produced in last 3 years (or if plant is operational for less than 3 years, then year-wise capacity produced after commercial operation)	Whether documentary evidence have been furnished in the RFQ as per clause 3.5 (Yes / No) Furnish reason if answer is 'No'
1										
2										
Add rows for more numbers of projects										

(Sign. & Seal of Authorized Signatory)

Place:

Date:

Notes:

- For solar wafer: n-type or p-type, mono or multi-crystalline, production technology (Cz / DS / direct wafer), wafer size. For solar cell and module: n-type or p-type, mono or multi-crystalline / PERC / PERT / TOPCon / HJT / Tandem / Thin-film / any other technology, cell and module capacities in Wp, module efficiency and temperature coefficient.
- Experience details submitted by the Applicant should be accompanied by documentary evidence as per **clause 3.5**.



## Annexure-5F: Details of Applicant’s Solar Power Projects in pipeline under EPC/Developer Mode

(On Applicant’s letterhead)

Details of the Solar Power Projects in pipeline under EPC/Developer Mode:

#	Name of the solar Power Plant	Role of Applicant entity / Applicant Group (Developer / EPC)	Client name (in case of EPC) and Full address of the plant	Installed Capacity (MW <sub>AC</sub> )	EPC award date (if available) and Expected Date of commencement of the Project	Whether documentary evidence have been furnished in the RFQ as per clause 3.5 (Yes / No) Furnish reason if answer is ‘No’
1						
2						

(Sign. & Seal of Authorized Signatory)

Place:

Date:



## Annexure-6: Suggestions on Proposed Roles

(On Applicant's letterhead)

Suggestions on proposed roles of the Equity Partner (refer clause 2.1 of this RFQ)	
Suggestions on proposed roles of CIL (refer clause 2.2 of this RFQ)	

(Sign. & Seal of Authorized Signatory)

Place:

Date:



## Annexure-7: Preference of Equity Shareholding by the Applicant

**(On Applicant's letterhead)**

Preference of equity shareholding by the Applicant in the proposed 4 GW solar PV ingot-wafer-cell-module manufacturing project (please provide tick mark '√' in any of the boxes below; if Applicant has preference for both the options, then both the boxes shall need to be checked with tick mark):

Majority (51% to 74%) shareholding in the proposed project

Minority (26% to 49%) shareholding in the proposed project

**(Sign. & Seal of Authorized Signatory)**

Place:

Date:



## Annexure-8: Proforma for Undertaking

(TO BE SUBMITTED ON NON-JUDICIAL STAMP PAPER OF MINIMUM VALUE OF RS.10/- DULY NOTARIZED)

### AFFIDAVIT

I / We, ....., Proprietor / Partner / Legal Attorney / Director / Accredited Representative of M/S. ...., solemnly declare that:

1. I/We am/are submitting the RFQ for SHORTLISTING OF PROSPECTIVE EQUITY PARTNERS FOR SETTING UP 4 GW SOLAR PV INGOT-WAFER-CELL-MODULE MANUFACTURING PLANT of Coal India Ltd against RFQ No. .... Dated.....
2. All information furnished by us in respect of fulfilment of eligibility criteria and qualification information of this RFQ is complete, correct and true.
3. All copy of documents and credentials submitted along with this RFQ are genuine, authentic, true and valid.
4. In addition to the solar PV cell-module manufacturing business, we have intent to enter into solar polysilicon and solar ingot-wafer manufacturing business in India.
5. We hereby undertake that we are not under corporate insolvency resolution process.
6. If any information and document submitted is found to be false/ incorrect at any time, Coal India Limited may reject my/our RFQ response and action as deemed fit may be taken against me/us, including and banning of our entity/firm and all partners of the firm etc.

DEPONENT

### VERIFICATION

\*I/we \_\_\_\_\_ the above named deponent do hereby verify that the contents of the aforesaid paragraphs are true and correct to the best of \*my/ our knowledge and belief and nothing is concealed there from.

Verified at \_\_\_\_\_ (place) this \_\_\_\_\_ Day of \_\_\_\_\_ 20 .

(\* Strike off whichever is not applicable)

DEPONENT