

2.	ಶ್ರೀಮತಿ ವಿ.ಕೆ.ಶ್ರೀದೇವಿ ಕೋಂ ವಿ.ಗೋಪಾಲ್, ವಿನಾಯಕನಗರ, ರಾಮನಗರ ಟೌನ್.	ಸದಸ್ಯರು	ಮಹಿಳೆ
3.	ಶ್ರೀ ಪರ್ವೀಜ್ ಪಾಷ ಬಿನ್ ಅನ್ವರ್ ಪಾಷ, ನಂ.18 ಮಟನ್ ಮಾರ್ಕೆಟ್ ರಸ್ತೆ, ಹೊಸಹಳ್ಳಿ ಬಯಲು ಮೆಹಬೂಬ್ ನಗರ, ರಾಮನಗರ.	ಸದಸ್ಯರು	ಸಾಮಾನ್ಯ
4.	ಶ್ರೀ ಪ್ರವೀಣ್ ಎಸ್ ಬಿನ್ ಲೇಟ್ ಸುರೇಶ್, 5ನೇ ಮೇನ್ ರೋಡ್, 2ನೇ ಕ್ರಾಸ್, ವಿವೇಕಾನಂದ ನಗರ, ರಾಮನಗರ.	ಸದಸ್ಯರು	ಸಾಮಾನ್ಯ
5.	ಶ್ರೀ ಶ್ರೀನಿವಾಸ ಬಿನ್ ವೆಂಕಟೇಶ್, ನಂ.3083, 16ನೇ ವಾರ್ಡ್, ಬಿಸಿಲು ಮಾರಮ್ಮ ದೇವಸ್ಥಾನ ಹತ್ತಿರ, ಬಾಲಗೇರಿ, ರಾಮನಗರ.	ಸದಸ್ಯರು	ಪ.ಜಾ

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ
ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

(ರಾಜೇಶ್ ಎಸ್. ಸೂಳಿಕೇರಿ)

ಸರ್ಕಾರದ ಅಧೀನ ಕಾರ್ಯದರ್ಶಿ,
ನಗರಾಭಿವೃದ್ಧಿ ಇಲಾಖೆ,
(ಬಿಡಿಎ & ಬೆಂ-1)

PR-352

KARNATAKA ELECTRICITY REGULATORY COMMISSION
No. 16 C-1, Miller Tank Bed Area, Vasanth Nagar, Bengaluru- 560 052

NOTIFICATION

No: KERC/Group Captive/CT-2/2023-24/Vol-III/1521, dated: 22.03.2024

Revised Draft KERC (Verification of Captive Status of Generating Plants/Consumers in the State of Karnataka) Regulations, 2024

Preamble:

- Section 2(8) of the Electricity Act 2003 (Act) defines a captive generating plant and Section 9 defines the rights and duties of captive generating plants. Rule 3 of the Electricity Rules, 2005 specifies the conditions to be fulfilled with respect to share-

holding pattern and consumption pattern in order to be qualified as a captive generator/users. Further, Section 42 (2) of the Act empowers the State Commissions to impose the surcharge in addition to charges for wheeling of electricity. Section 42 also provides that such surcharge shall not be levied in case open access is provided to a person who has established a captive generating plant for carrying the electricity to the destination of his own use. Thus, a Captive Generating Plant (CGP) is required to fulfil the provisions under Electricity Act, 2003 and Electricity Rules, 2005 in order to avail benefits extended to Group Captive generators/consumers. The Commission observed that a lot of confusion exists for determination of captive status in case a consumer joins or leaves the grid during middle of a financial year. The Hon'ble ATE in its Order dated May 18, 2010 in Appeal No. 116 of 2009 and IA No. 218 and 219 of 2009 in the matter of Chhattisgarh State Power Distribution Co. Ltd. V/s. Hira Ferro Alloys Ltd. and Others on whether a State Commission can determine the captive status of generators/consumers had held that the State Commission has the jurisdiction to determine the status of captive generating plant. The relevant portion of the Order is enunciated below:

"27. A generating Company which fulfils the special conditions prescribed in Section 2(8) read with Rule 3 above is categorized as captive power plant. Therefore, the captive generating plant will also be subject to the regulatory control of the State Commission in as much as a generating company. The proviso of Section 42(2) exempts a captive consumer from payment of cross subsidy surcharge. It is the State Commission which has the jurisdiction to determine whether the exemption provided under Section 42(2) can be accorded or not in the same manner as it is entrusted with the responsibility of determination of tariff and charges payable by the consumers in the State.

28. In view of the aforementioned discussions we have no manner of doubt that the State Commission has the jurisdiction to determine the captive generating plant status of the first Respondent which in turn will determine whether or not surcharge is payable."

Thus, to bring in regulatory control and to protect the interest of all the stakeholders, the Commission, keeping in view Rule 3 of the Electricity Rules, 2005 (as amended from time to time), read with various sections of the Electricity Act, 2003 and the model Regulations drafted by Forum of Regulators on verification of status of generating

plants and captive users, in exercise of the powers vested under Section 42 of the Electricity Act, 2003, had published the "Draft Regulations for verification of captive status of generating plant/consumers in the State of Karnataka", in the Official Gazette on 6th October, 2023. Objections/suggestions/views on the proposed draft were invited from the stakeholders and interested persons vide paper notification dated 12.10.2023. In the meanwhile, the Hon'ble Supreme Court of India, passed an Order dated 09.10.2023, in Civil Appeal Nos. 8527-8529 of 2009 (and related matters) in M/S. Dakshin Gujarat Vij Company Limited versus M/s. Gayatri Shakti Paper and Board Limited and another, wherein it has made a few observations. Thus, based on the observations made by the Hon'ble Supreme Court of India in its Order dated 09.10.2023 and the decisions taken therein, the Commission hereby decides to issue a revised draft KERC (Verification of captive status of generating plant/consumers in the State of Karnataka) Regulations, 2024 and thereby revoking the earlier draft.

Thus, in line with Section 181(3) of EA,2003, the revised draft Regulations is hereby notified for information of all the persons likely to be affected and notice is hereby given that the said draft will be taken into consideration for finalising of the Regulations, after 30 days from the date of publication in the Official Gazette. The stakeholders and interested persons may file their objections/suggestions/views on the proposed draft within 30 days from the date of publication to:

**The Secretary,
Karnataka Electricity Regulatory Commission
No. 16, C-1, Millers Tank Bed Area, Vasantha Nagar,
Bengaluru, 560 052, Karnataka, India
E-mail : kerc-ka@nic.in Phone : 080 - 22268675/677**

DRAFT REGULATIONS

1. Short Title and commencement. –

- i. These Regulations shall be called the Karnataka Electricity Regulatory Commission (Verification of captive status of generating plants/consumers in the State of Karnataka) Regulations, 2024.
- ii. These Regulations shall come into force from the date of publication in the Official Gazette of Karnataka.

- iii. These Regulations shall apply to all the generating plants and consumers in the State of Karnataka claiming captive status.

2. Definitions

In these regulations, unless the context otherwise requires –

- a. "Act" means the Electricity Act, 2003 (36 of 2003)
- b. "Captive generating plant" means a power plant set up by any person to generate electricity primarily for his own use and includes a power plant set up by any co-operative society or association of persons for generating electricity primarily for use of members of such cooperative society or association;
- c. "Captive User(s)" shall mean the end user of the electricity generated from its own Captive Generating Plant and the term 'Captive Use' shall be construed accordingly;
- d. "Central Nodal Agency" means a Central Nodal Agency as notified by the Central Government to set up and operate a single window green energy open access system for renewable energy.
- e. "Company" means a company formed and registered under the Companies Act, 1956 or Companies Act, 2013 (as amended from time to time) and includes any body corporate under a Central or State Act;
- f. "Commission" means the Karnataka Electricity Regulatory Commission.
- g. "Consumer" means any person who is supplied with electricity for his own use by a licensee or the Government or by any other person engaged in the business of supplying electricity to the public under this Act or any other law for the time being in force and includes any person whose premises are for the time being connected for the purpose of receiving electricity with the works of a licensee, the Government or such other person, as the case may be;
- h. "Dedicated transmission lines" means any electric supply-line for point to point transmission which are required for the purpose of connecting electric lines or electric plants of a captive generating plant referred to in section 9 or generating station referred to in section 10 to any transmission lines or sub-stations or generating stations, or the load centre, as the case may be;
- i. "Intra-State transmission system" means any system for transmission of electricity other than an inter-State transmission system;
- j. "KER Act" means Karnataka Electricity Reform Act, 1999.

- k. "Licence" means a licence granted under section 14;
- l. "Open Access" means the non-discriminatory provision for the use of transmission lines or distribution system or associated facilities with such lines or system by any licensee or consumer or a person engaged in generation in accordance with the Regulations specified by the Appropriate Commission.
- m. "person" shall include any company or body corporate or association or body of individuals, whether incorporated or not, or artificial juridical person;
- n. "Injection Point" means the point or points at which electricity is injected by the generating Company into the Corporation/ESCOM network.
- o. "Premises" includes any land, building or structure;
- p. "Renewable Energy" means such sources that produce/generate electrical energy from renewable sources of energy including, but not limited to Solar PV Power project or wind power project or hybrid power project or small hydro power project or biomass, biofuel, urban or municipal waste, pumped storage hydro generation, Energy Storage Systems using entire electricity generated from renewable energy for charging or any other technology as may be notified by the Government of India from time to time and shall also include any mechanism that utilises green energy to replace fossil fuels including production of green hydrogen or green ammonia;
- q. "SLDC" means the State Load Dispatch Centre established under sub-section (1) of section 31 of the Act.
- r. "State Transmission Utility" means the Board or the Government company specified as such by the State Government under sub-section (1) of section 39 of the Act;
- s. "Wheeling" means the operation whereby the distribution system and associated facilities of a transmission licensee or distribution licensee, as the case may be, are used by another person for the conveyance of electricity on payment of charges to be determined under section 62 of the Act;
- t. 'Year' means financial year from 1st April to 31st March.

Words and expressions used and not defined in these Regulations but defined in the Act or the KER Act, shall have the meanings assigned to them in the said Acts. In case of any inconsistency in words and expressions between the Act and the KER Act, the provisions of the Act shall prevail.

3. Determination of captive status of CGP:

- 3.1 Monitoring of captive status of generators/users with respect to criteria of consumption and equity share-holding, as prescribed under the Electricity Rules, 2005 (as amended from time to time) shall be done annually by the State Commission after the end of a Financial Year (FY) based on the share-holding pattern existing during a financial year and the consumption details as submitted by the generator/consumer(s) and the distribution licensees.

Provided that for a newly commissioned plant, for the first year, the date of open access i.e., date of receipt of wheeling agreement by the nodal agency or the date of commissioning of the project or the units, whichever is later, shall be considered as the starting date for that financial year. For the subsequent years, generation from 1st April to 31st March of a financial year shall be considered for determining captive status.

- 3.2 In case only few generating units in a generating station/pooling station are identified for captive use, the captive status of generator/consumer(s) shall be determined considering the net energy generated from units identified for the captive use, energy allocated from those generating units to the intending captive consumers, and the actual energy consumed by the intending captive consumers.

- 3.3 The generator/consumers shall provide the details of equity share holding as on 31st March for the financial year, entity-wise consumption and electricity generation along with other documents in the prescribed formats (as specified by the Commission on its website/attached with these Regulations as annexure) necessary for determination of captive status under an affidavit which shall be uploaded on the website.

Provided that in case of any change in the share-holding pattern or the ownership during a financial year, the generator/consumers shall provide the details of change in the equity share holding pattern or the ownership to the distribution company as well as the Commission. In case of any change in the share-holding pattern during a financial year, the principle of weighted average shall be applied for determination of the share-holding percentage for the financial year, which will be considered to ensure compliance of the proportional electricity consumption requirement as stipulated under the second proviso to Rule 3(1)(a).

3.4 In case where captive generating plant and its captive user(s) are located in more than one state, verification of captive status of such generating plants shall be verified by the Central Electricity Authority as per the procedure issued by the Authority with the approval of the Central Government.

3.5 Every person, who has constructed a captive generating unit, shall have the right to open access (the minimum criteria of contracted demand of 100kW for open access shall not be applicable to the captive consumers), for the purposes of carrying electricity from his captive generating plant to the destination of his use, provided that such open access shall be subject to availability of adequate transmission/distribution facility.

Provided that the supply of electricity from the CGP through the grid shall be regulated in the same manner as in generating station of a generating company.

4. Verification of Captive Status by verification of ownership and consumption criteria

4.1 Types of captive users:

- a) Single captive user
- b) Co-operative society
- c) Group Captive Users

4.2 Documents to be submitted by intending captive users for verification of captive status:

Sl. No.	Types of captive users	Share-holding Criteria	Supporting document
1	Single captive user	The user shall hold not less than 26% of equity share capital having voting rights throughout the year.	a) Certificate of Incorporation b) MoA and AoA c) Share-holding Certificate by Company Secretary (CS)/ Chartered Accountant (CA). d) Form MGT 9/ MGT 7/ Summary of Annual Report
2	Co-operative society	Members of society shall collectively satisfy not less than 26% ownership on an annual basis.	a) Certificate from District Registrar of Co-operative Society b) A certificate by the Chartered Accountant, who signs the annual financial statement showing detailed breakup of the Issued, Subscribed and Paid-up Equity Share Capital of

			the CGP and the details of holding of Equity Share Capital with voting rights by the Captive users.
Group Captive User			
3	Partnership firm / limited liability partnership (LLP)	The captive users shall hold in aggregate not less than 26% of the proportionate paid up equity share capital with voting rights of the units identified for captive use (i.e., the proportionate of the equity of the company related to the generating unit / units to be identified as the CGP) throughout the year.	a) Copy of the Business agreement that details the shareholding of persons as members of the AoP certified by the CA or CS. b) Copy of Registration (if applicable); c) MoA and AoA (if applicable)
4	Association of persons		
5	Company/Special purpose vehicle (SPV)		

4.3 Criteria for Captive Status

- (i) In case of a single captive user, minimum 26% of the equity shares of the generation unit shall be held by the captive user. The single captive user shall consume minimum 51% of the total net generation annually by the generating unit(s).

Provided, that the consumption of electricity by the captive user may be either directly or through Energy Storage System.

Provided further that the consumption by a subsidiary company as defined in clause (87) of section 2 of the Companies Act, 2013 (18 of 2013) or the holding company as defined in clause (46) of section 2 of the Companies Act, 2013 (18 of 2013), of a company which is a captive user, shall also be admissible as captive consumption by the captive user.

- (ii) In case of a registered Co-operative society, 26% share-holding pattern and 51% consumption pattern shall be satisfied collectively by the members of the co-operative society.

In case of Group Captive consumers (Partnership firm / Limited Liability Partnership (LLP)/ Association of persons/ Special Purpose Vehicle), the captive users shall hold not less than twenty-six percent of the ownership of the plant in aggregate and such consumers shall consume not less than 51% of the net electricity generated on an annual basis in proportion to their shares in

ownership of the power plant within a variation not exceeding ± 10 percent.
The proportion shall be computed as follows:

Total Captive Consumption ---- C

Total Captive Share Holding ---S

$C = \sum C_i$, where C_i is the Consumption of the i^{th} Captive Consumer

$S = \sum S_i$, where S_i is the weighted average Shareholding (in Percentage) of the i^{th} Captive Shareholder

Proportional Shareholding of the i^{th} Captive Shareholder

$$PS_i = (S_i / S) * 100$$

Proportional Consumption of the i^{th} Captive Shareholder

$$PC_i = (PS_i * C) / 100$$

Conditions to be satisfied for Captive Status

- i. $S \geq 26$
- ii. $C \geq 51$
- iii. $0.9 PC_i \leq C_i \leq 1.1 PC_i$

Provided that the intending captive consumers (other than single captive user and co-operative society) consuming energy more or less than their proportion (with a variation exceeding $\pm 10\%$), will lose their captive status. Captive status of the other consumers/generating station shall be verified excluding such consumers.

- 4.4 In case a generator intends to supply energy to consumers under captive route, then the generator shall submit all the required documents to the Distribution companies, along with the list of consumers, before onset of wheeling of energy. This shall also apply to any change in share holding pattern/ownership during a Year which shall be informed to a distribution licensee within 15 days of such change.

Provided that verification of consumption by captive users shall be an annual exercise. The annexures as mentioned in these Regulations shall also be furnished by the CGP Owner/Captive User to the Distribution Licensee at the time of annual verification of CGP status at the end of the Year. The Distribution Licensee shall verify the captive status of the consumers at the end of the

financial year to compute the surcharges (if applicable), by verifying the share-holding pattern as well as the total energy consumption in accordance with Rule 3 of the Electricity Rules, 2005 (as amended from time to time). Further, in case during a financial year any new captive user is added, then the generator/user shall submit the documents to the Distribution Licensee illustrating the date of purchase of equity shares by the new consumer within 15 days of such change along with proof of documents.

Provided that in case a generator wants to supply power under the captive mode during middle of a financial year, he shall identify the generating units (RR. No.) entering into captive mode and shall submit the details illustrating the date of transfer of equity shares with voting right to the intending captive consumers along with other details with regards to generation and consumption of energy to the distribution licensee (before the onset of wheeling under captive mode) and to the Commission (at the end of the financial year).

Provided that, the minimum ownership criterion is required to be maintained continuously throughout the financial year. However, as regards energy consumption criterion, minimum 51% of the net energy generated by the captive plant/ units during a year has to be consumed by the intending captive consumers.

Provided further that the intending captive consumers (other than single captive user and co-operative society) consuming energy more or less than their proportion (with a variation exceeding +_10%), will lose their captive status. Captive status of the other consumers/generating station shall be verified excluding such consumers.

- 4.5 Distribution Licensees should provide the detailed calculation along with the demand notices to the consumers in case the consumers do not satisfy the captive status.

4.6 The verification of consumption criteria shall be based on the net energy generated from generating unit(s) in a generating station identified for captive use. For arriving at the "Net Generation", total generation by a generating unit at the end of the financial year less auxiliary consumption and the losses till the point of injection shall be considered.

In case the generator and the intended captive user are located within the State but not co-located, then the consumption corresponding to the energy allocated by the generator or the actual consumption based on the meter installed at the consumption side whichever is lower, shall be considered.

4.7 The technical losses in electrical network (as determined by the Commission in its tariff Orders) and energy storage system shall be grossed up to the energy consumption of the captive users proportionately to compute the minimum consumption of 51% of the net generation.

5. METERING:

5.1 Each generating unit intended for captive use shall have a separate Special Energy Meter (SEM) with real time communication facility as specified in the Central Electricity Authority (CEA) (Installation and Operation of Meters) Regulations, 2006 (as amended from time to time) and/or the State Load Despatch Centre/ distribution licensee. The generators may provide the monthly data/quarterly/15-minutes data of the energy generated (less auxiliary consumption) and other data to the SLDC/Distribution Licensee. The practice adopted by the Distribution Licensees in the case of HT open access consumers for meter reading, downloading data of consumption may be followed, which is not inconsistent with any Orders or directions issued by the Commission. The Special Energy Meters may be tested periodically as per prescribed testing procedures.

5.2 Separate metering arrangement shall be made for each generating unit and consumption unit in case the Embedded CGP generators are selling power under bilateral transaction to third party consumers or having Power Purchase Agreement (PPA) with any Distribution Licensee.

5.3 The SLDC/licensees shall create a web portal and provide login ID to each of the CPPs and captive users to enable the captive generators to allocate the total energy generated to its various consumers.

6. Default by a shareholder(s)

6.1 Not fulfilling any of the provisions as specified under these Regulations shall be construed as default by the stakeholders.

6.2 In case of Group Captive consumers, if one or more persons defaults in fulfilling consumption criteria mentioned under Rule 3, then defaulting person(s) alone shall forgo the concessions extended to a captive user, provided other captive users put together comply with the criteria of 26% ownership and consumption of not less than 51% of the net electricity generated, in proportion to their individual shareholding pattern (if applicable).

7. Consequence of failure to meet captive users' status

7.1 The captive users shall deposit by 30th April, of every year, the security deposit in the form of un-conditional and irrevocable bank guarantee equivalent to cross-subsidy surcharge and additional surcharge for 51% of captive consumption for the financial year on an estimated basis, to the concerned distribution licensee as payment security against estimated cross subsidy surcharge and additional surcharge as may be decided by the Commission.

Provided that in case of addition of consumer, additional security deposit in the form of un-conditional and irrevocable bank guarantee/Letter of credit shall be submitted to the concerned distribution licensee.

7.2 If the CGP or captive user fails to meet the criteria of ownership and consumption specified in Rule 3 of Electricity Rules, 2005 as amended from time to time, by the end of the year, such generator / consumer shall be denied from getting the captive status for that year leading to imposition of cross subsidy surcharge and additional surcharge and such other charges as applicable on open access consumers. The defaulting entity shall pay the

charges as computed by the licensee within one month from the date of raising the invoice.

8. Dispute Resolution:

- 8.1 In case of any dispute between the Distribution Licensee and Captive Power producers/ users regarding captive status and payment of surcharges, the same may be referred to the Commission for adjudication. No coercive action shall be taken by the Distribution Licensee, during the pendency of the dispute.
- 8.2 The onus of furnishing the correct and complete data shall be on the Captive generator/ user seeking captive status.
- 8.3 During pendency of a dispute, the Commission shall be free to seek any additional data/documents as deemed necessary, for determination of captive status.

9. Power to remove difficulties

In case of any difficulty in giving effect to any of the provisions of these Regulations, the Commission may by general or special order, direct the consumers, generators and the licensees to take suitable action, not being inconsistent with the provisions of Electricity Act, 2003, and Electricity Rules, 2005 (as amended from time to time) which appears to the Commission to be necessary or expedient for the purpose of removing the difficulty.

The consumers/licensees may make an application to the Commission and seek suitable orders to remove any difficulties that may arise in implementation of these Regulations.

10. Power to amend:

The Commission may from time to time add, vary, alter, modify or amend any provisions of these Regulations after following the necessary procedures.

11. Interpretation:

The decision of the Commission regarding the interpretation of these Regulations shall be final and binding.

Approved by the Commission

Secretary

for **Karnataka Electricity Regulatory Commission**

Annexure-I**This format shall be repeated for each generating unit and it shall be financial year wise****Flow Chart for submission of details for determination of Group Captive Status**

Sl. No.	Particulars	
1	Name of Generating Company:	
2	Financial Year	
3	No. of Generating Stations	
a	Generation Station No.	
i	R.R. No. of Generating Station	
	Project Location	
ii	Type of Generator:	
	Renewable Energy	Y/N
	Non-renewable Energy	Y/N
iii	Total capacity of the generating station (in MW)	
iv	Total number of units in the generation station	
v	Total number of units commissioned in the generation station:	
vi	Total number of units identified for captive use:	
vii	Total capacity identified for captive use (MW):	
viii	Further details in respect of above Generator RR No. in the format as sheet-2 needs to be provided	Sheet-2
4	Type of Company:	
	Association of Persons	Y/N
	Company	Y/N
	Subsidiary company	Y/N
	Co-operative Society	Y/N
	Special Purpose vehicle	Y/N
5	Please submit the following documents (as applicable):	
a	Memorandum of Association	
b	Articles of Association	
c	Form MGT-7 or MGT-9	
d	Share-holding pattern as existing on 31st March of a Financial year, certified by Chartered Accountant (CA)/Company Secretary (CS) for each year for which verification of captive status has been requested	
e	Certificate of incorporation	
f	In case of a Cooperative Society: Certificate from District Registrar of Cooperative Society	
6	Upload all the WBAs signed by generating Units:	
7	Upload all the documents for allowing Open Access from the generating unit to the consumer:	
8	Upload documents pertaining to total energy generated by each generating units during a Financial Year in the format provided	
9	Upload all the monthly energy generation certificates based on AMR meter reading of all the generating units for which the captive status have been requested	

10	Upload documents pertaining to total energy allocated to each consumer during a Financial Year	
11	Upload any other necessary documents for determination of captive status	
12	Total number of equity shares issued by the Generator: for each financial year for which captive status has been requested:	
13	Number of Captive Consumers :	
14	Details of captive consumers:	
a	Name of ESCOM	BESCOM/MESCOM/CESC/HESCOM/GESCOM/HRECS/MSEZ/ AQUES/Others
i	Name of the Consumer 1	
ii	RR No. of the Consumer 1	
iii	Total number of equity shares with voting rights held by the consumer during each financial year for which captive status has been requested:	
iv	Total percentage of shares held by captive consumers during each financial year for which captive status has been requested:	
b	Name of ESCOM	BESCOM/MESCOM/CESC/HESCOM/GESCOM/HRECS/MSEZ/ AQUES/Others
i	Name of the Consumer 2	
ii	RR No. of the Consumer 2	
iii	Total number of equity shares with voting rights held by the consumer during each financial year for which captive status has been requested:	
iv	Total percentage of shares held by captive consumers during each financial year for which captive status has been requested.	

Sheet 2: This format shall be repeated for each generator for each financial year

Name of the Generating Company						
RR. No. of generation Station						
Capacity (MW)						
Voltage level at which the generating Station is connected						
Location						
Sl. No	Month	Total Energy generated during each month in accordance with generation certificates (A)	Energy Imported (B)	Technical losses upto injection point (C)	115% of energy imported D= (115%*B)	Net Energy Injected to the grid E=A-C-D
1	April					
2	May					
3	June					

4	July					
5	August					
6	September					
7	October					
8	November					
9	December					
10	January					
11	February					
12	March					
Total energy generated (E)						
51%of the Total Energy generated F=51%*E						

This format shall be repeated for each consumer for each financial year

Sl. No.	
Financial Year	
Name of the Shareholder	
R.R. No.	
Voltage level at which the consumer (holding equity shares with voting rights) is connected	
No. of Equity Shares as per share certificates as on 31st March of Financial Year	
% of shares in Ownership	
% of shares in Inter-se proportion in ownership	
Energy consumed in the month of April	
Energy consumed in the month of May	
Energy consumed in the month of June	
Energy consumed in the month of July	
Energy consumed in the month of August	
Energy consumed in the month of September	
Energy consumed in the month of October	
Energy consumed in the month of November	
Energy consumed in the month of December	
Energy consumed in the month of January	
Energy consumed in the month of February	
Energy consumed in the month of March	
Total Actual Consumption	