- 1. A tender is invited for the work as mentioned in Appendix 'A' to this **NOTICE INVITING TENDER** (NIT).
- 2. The work is estimated to cost as indicated in aforesaid Appendix 'A'. This estimate, however, is not a guarantee and is merely given as a rough guide and if the work costs more or less, a tenderer/bidder will have no claim whatsoever on this account.
- 3. The work is to be completed within the period as indicated in aforesaid Appendix 'A' in accordance with the phasing, if any indicated in the tender from the date of handing over the site, which will be on or about two weeks after the date of acceptance of the performance security.
- 4. Contractors whose names are on the MES approved list and within whose financial category the estimated amount would fall and un-enlisted contractors may submit tender/bid subject to other criteria mentioned in Appendix A. However in case of term contracts, enlisted contractors of Class SS to E may submit tender. Not more than one tender shall be submitted/uploaded by one contractor/ firm. Under no circumstances will a father and his son(s) or other close relations who have business dealing with one another be allowed to tender/bid for the same contract as separate competitors. Two firms shall be deemed to have business dealing if any of the partners/proprietor/director is common among both of them. A breach of this condition will render the tenders/bids of both the parties liable for rejection.
- 5. **CHIEF ENGINEER BATHINDA ZONE WITH CONCURRENCE OF IFA CORPS**will be the Accepting Officer here-in-after referred to as such for the purpose of this contract.
- 6. Not more than one tender/bid shall be submitted/uploaded by one bidder firm. Under no circumstances will a father and his son(s) or other close relations who have business dealing with one another be allowed to tender/bid for the same tender as separate competitors. A breach of this condition will render the tenders/bids of both the parties liable for rejection.
- 7. The Technical Bid and Financial Bid (Cover-1 and Cover-2) shall be uploaded by the contractor on or before the date & time mentioned in **NIT**. A scanned copy of DD with enlistment details and other documents as specified in Appendix A shall be uploaded as Cover-1 (Technical bid) of the tender on etendering portal. DD is refundable in case the contractor is not considered eligible in technical evaluation of Cover 1 resulting in non-opening of Cover1. The applicant contractor shall bear the cost of bank charges for procuring and encashing the DD including revalidation of DDs and shall not have any claim from Government whatsoever on this account.
- 8. Tender form and conditions of contract and other necessary documents shall be available on website defproc.gov.in for download and shall form part of contract agreement in case the tender/bid is accepted.
- 9. In case of MES enlisted contractor who has not executed the Standing Security Bond and un enlisted contractor, the Cover-I shall be accompanied by Earnest Money for the amount mentioned in Appendix 'A' in the form of deposit at call receipt in favour of concerned **Garrison Engineer**(see Appendix 'A') by a Scheduled Bank or in received treasury Challan the amount being credited to the revenue deposit of the concerned **Garrison Engineer**(see Appendix 'A'). The **Garrison Engineer**will return the Earnest Money, wherever applicable, to all unsuccessful tenderers/bidders by endorsing an authority on the deposit at call receipt for it's refund, on receipt of intimation from the Accepting Officer to do that.

Contd....

- 10. In case of successful contractor i e the lowest contractor having submitted EMD, he shall have the option of converting the EMD instrument into part of the Performance Security to be deposited by him within 28 days from the receipt of intimation of acceptance of tender from Accepting Officer.
- 11. Sample of materials and stores to be supplied by the contractor will also be available for inspection by the bidder at the office of concerned **Garrison Engineer** during working hours. The bidder is advised to visit the site of work by making prior appointment with **Garrison Engineer**, who is the Executing Agency of the work (see Appendix 'A'). The bidder shall be deemed to have full knowledge of all relevant documents, samples, site etc whether he has inspected them or not.
- 12. Any bid which proposes any alteration to any of the conditions laid down or proposes any other new condition whatsoever, is liable to be rejected.
- 13. The uploading of bid by a bidder implies that bidder has read this notice and the conditions of contract and has made himself aware of the scope and specification of work to be done and of the conditions and rates at which stores (as applicable) etc will be issued to him and local conditions and other factors having bearing on the execution of the work.
- 14. The bidder must be in possession of a copy of the MES Schedule (SSR) (Part-I & Part-II of latest edition) including amendments and errata thereto.
- 15. Accepting Officer does not bind himself to accept the lowest or any tender/bid or to give any reason for not doing so.
- 16. The Accepting Officer reserves the right to accept a tender submitted by a Public Undertaking/Small & Medium Enterprises (SMEs), giving a price preference/purchase preference over other tender(s)/bids which may be lower, as are admissible under the Government Policy. No claim for any compensation or otherwise shall be admissible for such tenderer/bidder whose tender/bid is rejected.
- 17. The Notice Inviting Tender (NIT) including Appendix 'A' and Annexure (s) thereto, if any, shall form part of the contract agreement.

(Signature of Contractor)

AAD (Contracts)
For Accepting Officer

## **APPENDIX 'A' TO NOTICE INVITING TENDER (NIT)**

1. Name of work : MANNING, OPERATION AND COMPREHENSIVE

MAINTENANCE FOR SOLAR PHOTOVOLTAIC (SPV)

**POWER PLANT UNDER GE (A) SURATGARH** 

2. Estimated Cost : Rs. 1088.90 Lakhs (At Par Market)

3. Period of completion : 10 Years

4. Cost of tender documents : Rs 3000/- in the form of DD/Bankers cheque from any scheduled

Bank in favour of GE (A) Suratgarh payable at Suratgarh (Raj).

(Note: In case of retendering, the contractor who had quoted in the previous call is not required to submit the cost of

tender.)

5. Website/portal address : <a href="https://defproc.gov.in">https://defproc.gov.in</a>

6. Type of contract : The tender shall be based on specifications (IAFW-1779A) and GCC (IAFW-2249) with Schedule 'A' (list of items of work) to be

priced by contractor. The contractor is required to quote rates

against items of Schedule 'A'/BOQ.

7. Information & Details :

(a) Bid submission start date

(b) Bid submission end date

(c) Date of Bid opening

Refer Critical dates on the website

| 8. | Eligibility Criteria |
|----|----------------------|
|    |                      |

| 9.  | bility Officina                       |   |   |
|-----|---------------------------------------|---|---|
| (A) | For MES enlisted contractors          |   | (i) Contractors shall be enlisted with MES in Class 'S' and to satisfactory remarks wrt to performance in respect of works in hand as reflected in Work Load Returns (WLRs) circulated by competent engineer authority. The contractor should have possessed Provident Fund Code Number and GST Registration Number  (ii) The contractor should have MoU with solar power firms of rating 1A/1B/1C/2A/2B/2C given by CRISIL/CARE/FITCH/ICRA/ SMERA/ Brick Work Ratings India Pvt Ltd and having experience as given at (c) (i) below.  (iii) Enlisted contractors who are either having above mentioned solar rating themselves fulfilling the criteria laid down here-in-below or meeting the criteria laid down for un-enlisted contractors shall also be considered  |
| (B) | For contractors not enlisted with MES | : | eligible.  (i) Contractor not enlisted with MES should meet the enlistment criteria of 'S' class MES contractors with regard to satisfactorily completion of similar works, requisite value works with Central/State Government/ Central/ State PSUs/AWHO/AFNHB/ CGEWHO/ DGMAP, annual turnover, bank solvency, working capital and other requirements given in Para 1.4 & 1.5 of Section 1 of Part I of MES Manual on Contracts-2020 as available in all MES formations as well as on MES website (www.mes.gov.in)  (ii) The contractor should have MoU with solar power firms of rating 1A/1B/1C/2A/2B/2C given by CRISIL/CARE/FITCH/ICRA/ SMERA/ Brick Work Ratings India Pvt Ltd and having experience as given at (c) (i) below.  (iii) Not carrying adverse remarks in Work Load Report (WLR) or any other similar report circulated by any competent authority, if already working in MES. |
|     |                                       |   | Contd/-   |

9.

# **APPENDIX 'A' TO NOTICE INVITING TENDER (NIT)**

|                                  |                     | :  | (iv) Not suspended/debarred/black listed (either permanently or temporarily) from participating in any bid or for business dealings by any Central/State Government Department or any Central/ State Government PSU or any Autonomous body under Central/State Government or any Local Body as on the bid submission end date.  (v) Detail of works completed and under progress in MES be submitted in the following format:-    Srl   |  |  |  |
|----------------------------------|---------------------|----|---|--|--|--|
| С                                | For all Contractors |    | (i) The Contractor should have experience of having successfully completed and commissioned Solar Power Plants in Government Department / PSU during last 7 years ending last day of month previous to the month of bid submission start date, should be either of the following:-  |  |  |  |
|                                  |                     |    | (a) One work of capacity not less than 80% of capacity specified in the NIT, OR   |  |  |  |
|                                  |                     |    | (b) Two works of capacity not less than 50% of capacity specified in the NIT OR   |  |  |  |
|                                  |                     |    | (c) Three works of capacity not less than 40% of capacity specified in the NIT.   |  |  |  |
| D                                | Notes               |    | <ul> <li>(a) Requirement of MoU is exempted for Contractors who themselves are having requisite ratings by rating agency mentioned here-in-before.</li> <li>(b) In a tender, the eligible solar power firms can either bid as directed participant / bidder or under MoU with MES enlisted / un-enlisted Contractors, but can not bid simultaneously for the same tender as direct participant / bidder as also under MoU with MES enlisted / un-enlisted Contractors.</li> <li>(c) MoU will be permitted between one eligible solar power firm and one MES enlisted / un enlisted contractor, bidding for the same tender.</li> <li>(d) In case any violation of Conditions at (b) and (c) above is noticed, all such bids shall be treated as invalid.</li> </ul> |  |  |  |
| (i) Tender issuing Officer       |                     | 1: | ShriHarmeet Singh, AAD (C) Telephone No (+91)-164-2920981,  |  |  |  |
|                                  |                     | :  | Email id: ssw.hqcebtz@gmail.com   |  |  |  |
| (ii) Tender Accepting<br>Officer |                     |    | Name : ShSanjeevaBajpai, CE Bathinda Zone<br>Address: HQ CE Bathinda Zone, Bathinda Mil Stn-151004<br>Contact Detail: (+91)-164-2920919, <a href="mailto:cezbp2-mes@nic.in">cezbp2-mes@nic.in</a>   |  |  |  |

# APPENDIX 'A' TO NOTICE INVITING TENDER (NIT)CONTD...

| 10. | Executing agency      | : | GE (A) Suratgarh  |
|-----|-----------------------|---|---|
| 11. | Earnest Money Deposit |   | Rs 8,69,450.00 in favour of GE (A) Suratgarh the form of deposit at call receipt, FDR not acceptable. |

#### NOTES:

- 1. In case after opening of Cover 1, the number of MES enlisted contractors of eligible class as well as eligible un-enlisted contractors, if any, fulfilling the other eligibility criteria given in NIT is less than 7 (Seven), applications in respect of MES contractors of one class below the eligible class shall also be considered subject to fulfilment of other eligibility criteria given in the NIT. Therefore, MES contractor's one class below may also bid for this tender. Such contractors (contractors of one class below the eligible class) shall not be considered in case their present residual work in hand is more than FIVE TIMES their present tendering limit. However in case such contractors fulfil the criteria of upgradation to the stipulated eligible class based on past experience of completed works (Individual work experience and /or average annual turnover, as applicable) and financial soundness (solvency/financial soundness and working capital), the ceiling of present residual work will not apply and they will be considered for issue of tender. Such bidders shall upload in their Cover-1 bid details related to residual work in hand like details of works in hand showing names of work, names of Accepting Officers, Contract amounts, dates of commencement and completion (stipulated) and progress as on bid submission date. Such contractors, if claim to fulfil the criteria of upgradation shall also upload the requisite information/documents in support of upgradation. These details shall be verified by the Tender Issuing Authority from concerned formations in case bids of such contractors are considered for evaluation.
- 2. In case after opening of Cover 1, the number of MES enlisted contractors of eligible class as well as unenlisted contractors, if any, fulfilling the other eligibility criteria given in NIT, are 7 (Seven) or more, applications of only those one class below the eligible class bidders shall be considered, who have previously completed similar works satisfactorily and are meeting the criteria of upgradation in respect of past experience of completed works (individual work experience and/or average annual turnover as applicable) and financial soundness (solvency/financial soundness and working capital) as per details given in Manual on Contracts. Therefore such contractors shall upload the requisite information/documents in the Cover-1.
- 3. Un-enlisted contractors shall be considered provided he meets the criteria. Foreign firms shall not be eligible for this tender. However, Indian Firms having foreign national/Indian nationals staying abroad/ Indian nationals having taken foreign citizenship, as director(s) shall be considered subject to security clearance from the concerned authorities.
- 4. Contractors enlisted with MES will upload following documents in Cover 1 for checking eligibility:-
  - (a) Application for tender on Firm's letterhead.
  - (b) Enlistment letter issued by the Registering Authority duly renewed for the cycle period in vogue.
  - (c) Scanned copy of DD/Bankers Cheque toward cost of tender and EMD instrument in case SSD bond is not signed at the time of registration.
  - (d) Any other document required as described in this Appendix.
- 5. Contractors not enlisted with MES will be required to upload following documents in Cover 1 for checking eligibility.
  - (a) Application for tender on Firm's letterhead.
  - (b) Scanned copy of DD/Bankers Cheque toward cost of tender and Earnest Money Deposit (EMD) instrument.
  - (c) Copy of Police Verification Report/Police Clearance Certificate/ Character Certificate from the Police Authority of the area where the registered office of the firm is located/notarized copy of valid passport of Proprietor/each Partner/each Director.
  - (d) All documents required for enlistment in MES for the class mentioned in Para 8(b) above as per Para 1.5 of Section 1 of MES Manual on Contracts 2020.
  - (e) Details of works being executed in MES, if any.
  - (f) Any other document required as described in this Appendix.

## APPENDIX 'A' TO NOTICE INVITING TENDER (NIT)CONTD...

- 6. Tenders not accompanied by scanned copies of requisite DD/Bankers Cheque towards cost of tender and earnest money (as applicable) in Cover 1 shall not be considered for validation of 'T' bid and their Financial Bids will not be opened.
- 7. Contractors should ensure that their original physical DDs and Earnest Money Deposit (EMD) instruments (as applicable) reach the office of Accepting Officer within <u>07 days</u> of bid submission end date, failing which following action shall be taken.
  - (a) In case of tenders from an enlisted contractor of MES, where scanned copies of requisite DD/Bankers Cheque towards cost of tender have been uploaded in Cover 1 but physical copies are not received within the stipulated period, their financial bids (Cover 2) will be opened. However non-submission of physical copies of cost of tender shall be considered as willful negligence of the tenderer with ulterior motives and such tenderer shall be banned from bidding for a period of six months commencing from the date of opening of Financial Bid (Cover 2).
  - (b) In case of tenders from un-enlisted contractor, where scanned copies of requisite DD/Bankers Cheque towards cost of tender have been uploaded in Cover 1 but physical copies are not received within the stipulated period, their financial bids (Cover 2) will not be opened. Name of such contractors along with complete address shall be circulated for not opening of their bids for a period of six months commencing from the date of opening of Financial bid (Cover 2).
  - (c) In case of tenders from enlisted and un-enlisted contractors, where scanned copies of instruments for Earnest Money Deposit (as applicable) have been uploaded in Cover 1 but the same are not received in physical form within stipulated period, such tenders shall not qualify for opening of financial bid (Cover 2).
- 8. Contractor will not be allowed to execute the work by subletting or through power of attorney to a third party/another firm on his behalf. However a contractor can execute the work through power of attorney to sons/daughters/spouse of Proprietor/Partner/Director and firm's own employees, director, project manager provided they are not having a separate enlisted firm in MES in their name as Proprietor/Partner/Director.
- 9. After opening of Cover 1 and during its technical evaluation, in case any deficiency is noticed in the documents required to be uploaded by the tenderers as per NIT, a communication in the form of e-mail/SMS/Speed Post etc shall be sent to the contractor to rectify the deficiency within a period of seven days from date of communication failing which their financial bid (Cover 2) shall not be opened and contractor shall not have any claim on the same.
- 10. Invitation for e-tender does not constitute any guarantee for validation of Technical bid and subsequent opening of financial bid of any applicant/bidder merely by virtue of enclosing DD. Accepting Officer reserves the right to reject the Technical bid and not to open the financial bid of any applicant/bidder. Technical bid validation shall be decided by the Accepting Officer based on eligibility of the firm as per criteria given in this Appendix. Tenderer/bidder will be informed regarding non-validation of his Technical bid assigning reasons therefore through tender evaluation report which shall be uploaded on the website. Such tenderer, if desires, may appeal to the next higher Engineer Authority (NHEA) vizChief Engineer South Western Command on email id <a href="mailto:swceengril-mes@nic.in">sswceengril-mes@nic.in</a> with copy to the Accepting Officer on email before the scheduled date of opening of Cover 2. NHEA shall decide the matter within a period of seven working days from the date receipt of appeal. The decision of the NHEA shall be final and binding. The tenderer/bidder shall not be entitled for any compensation whatsoever for rejection of his bid.
- 11. In case an un-enlisted contractor is already executing works in MES, he shall not be considered eligible for the subject tender if the total value of such works is more than twice the tendering limit of the MES Class of contractor for which it is eligible. For this purpose, details of the work being executed by such a contractor shall be uploaded in the Cover -1 of the bid and shall be checked/verified by the Accepting Officer.
- 12. In case the BOQ is revised through the corrigendum and the bidder has failed to quote on revised BOQ (ie he has quoted on pre revised BOQ), such bid shall be treated as willful negligence by the bidder and his quotation shall be considered non-bonafide. In such cases the lowest tender shall be determined from amongst the valid/bonafide bids only. Accepting Officer may decide whether to re-tender or consider the lowest bonafide tender for acceptance.

Contd..../-

### APPENDIX 'A' TO NOTICE INVITING TENDER (NIT)CONTD...

- 13. Revoking the offer or revising the rates upward or offering voluntary reduction by the lowest tenderer after opening of Cover 2 shall be considered as a wilful default. For this default a penalty of an amount equal to Earnest Money shall be levied. In case of an un-enlisted tenderer, Earnest Money deposited by him shall be forfeited. In case of MES enlisted tenderer having deposited the Standing Security Bond, an amount equal to the earnest money stipulated in the NIT, shall be notified to the tenderer for depositing through MRO and consideration of such tenderer in tender evaluation for future works shall remain suspended till the aforementioned amount is deposited in the Government Treasury. No other disciplinary/administrative action shall be taken against such tenderers. In such a situation, the next lowest offer shall not be considered for acceptance. Instead, rendering shall be restored to in a transparent and fair manner and the defaulting tenderer and his related firm if any shall not be eligible for this tender in second call or subsequent calls.
- 13A. Irrespective of whatever is mentioned in condition 19.3 of IAFW 2249 with regard to suspension of tenders on account of non-submission of Performance Security, issue of tenders to such tenderers shall remain suspended for a period of six months from the date of cancellation of contract under condition 19.3 of IAFW 2249in case of unenlisted Contractors. In case of MES enlisted contractor, issue of tenders shall remain suspended till deposit of EMD or Six months from date of cancellation whichever is later.
- 14. Tender to related firms shall not be issued simultaneously. Firms shall be termed as related if Proprietor/one or more Partners/Directors are common. Decision of Accepting Officer on issue/deny the tender to any one of the related firms shall be final and binding.
- 15. The tender shall upload proof of possession of the Provident fund code number in addition to other mandatory documents as required. All workers employed directly or indirectly by the contractor shall be enrolled as members of provident fund and should be given the Universal Account Number (UAN).
- INTEGRITY PACT (IP):- Integrity Pact enclosed as ANNEXURE-II shall be duly signed on each page by the bidder(s). It shall be uploaded and it is part of technical bid (Cover-I). Original IP duly signed on each page shall be forwarded by post along with demand draft. Bidder(s) who do not upload signed copy of IP along with technical bid (Cover-I) the bid shall be rejected.
- 17 Court of the place from where tender has been issued shall alone have jurisdiction to decide any dispute out of or in respect of this tender. After acceptance of tender, Condition 72- Jurisdiction of Courts of IAFW-2249 shall be applicable.

Signature of Contractor

Chief Engineer
Bathinda Mil Station

File No: 84497/E8

Headquarters Chief Engineer Bathinda Zone Bathinda Mil Stn-151004

#### **INTEGRITY PACT**

| 1.         | Wh   | ereas tl    | he pres  | ident of Ind | ia, represente   | ed by C   | E BAT     | HINDA ZO    | NE, MES,    | BATHINDA      | MIL     | STN   |
|------------|--|-------------|----------|--------------|------------------|-----------|-----------|-------------|-------------|---------------|---------|-------|
| herei      | inafter  | referred    | to as 'F | Principal/Ov | vner' and the    | first par | t, has fl | oated the T | ender and   | intends to av | ward, ປ | ınder |
| laid       | down   | organiz     | ational  | procedure,   | contract for     | MAN       | NING,     | OPERAT      | ON AND      | COMPRE        | HENS    | SIVE  |
| <u>MAI</u> | NTEN   | ANCE        | FOR      | SOLAR        | PHOTOVOL         | TAIC      | (SPV      | POWER       | R PLANT     | UNDER         | GE      | (A)   |
| SUR        | SURATGARH here-in-after referred to as works/services and M/s represented by |             |          |              |                  |           |           |             |             |               |         |       |
|            |  |             |          |              | (which to        | erm un    | less ex   | pressly ind | licated by  | the contract  | t, sha  | ll be |
| deen       | ned to   | include     | its succ | essors and   | its assignees)   | , here-i  | n-after   | referred to | as the Bido | ler / Contrac | tor and | d the |
| seco       | nd par   | t is willin | g to car | ryout the wo | orks / services. |           |           |             |             |               |         |       |

2. Whereas the Bidder is a proprietorship concern / partnership firm / limited liability firm / private limited company / limited company / joint venture constituted in accordance with the relevant law in the matter and the principal / owner is Chief Engineer performing its functions on behalf of the President of India.

#### **OBJECTIVES**

- 3. Now, therefore, the Principal / Owner and the bidder agree to enter into this pre-contract agreement, referred to as **INTEGRITY PACT(IP)**, to avoid all forms of corruption by followings a system that is fair, transparent and free from any influence / prejudiced dealings prior to, during and subsequent to the conclusion of the contract to be entered into with a view to:-
- 3.1 Enabling the Principal / Owner to get the desired works / services at a competitive price in conformity with the defined specifications of the Services by avoiding high cost and the distortionary impact of corruption on public procurement.
- 3.2 Enabling Bidders to abstain from bribing or any corrupt practice in order to secure the contract by providing assurance to them that their competitors will also refrain from bribing and other corrupt practices and the Principal / Owner will commit to prevent corruption, in any form, by their officials by following transparent procedures.

#### COMMITMENT OF THE PRINCIPAL/OWNER

- 4. The Principal/Owner commits itself to the following:-
- 4.1 The Principal / Owner undertakes that, no official of the Principal / Owner, connected directly or indirectly with the contract will demand, take a promise for or accept, directly or through intermediaries, any bribe, consideration, gift, reward, favour or any material or immaterial benefit or any other advantage from the Bidder, either for themselves or for any person, organisation or third party related to the contract; in exchange for an advantage; in the bidding process, bid evaluation, contracting or implementation process related to the contract.
- 4.2 The Principal / Owner will, during the pre-contract stage, treat all Bidder(s) alike and will provide to all Bidders the same information and will not provide any such information to any particular Bidder which could afford an advantage to that particular Bidder in comparison to other Bidders.
- 4.3 All the officials of the Principal / Owner will report to the appropriate Government office any attempted or completed breach(s) of the above commitments as well as any substantial suspicion of such a breach.
- 5. In case of any such preceding misconduct on the part of such official(s) is reported by the bidder to the Principal / Owner willful and verifiable facts and the same is prima facie found to be correct by the Principal / Owner, necessary disciplinary proceedings, or any other action as deemed fit, including criminal proceedings may be initiated by the Principal / Owner and such a person shall be debarred from further dealing related to the tender / contract process. In such a case while an inquiry is being conducted by the Principal / Owner the tender process / proceedings under the contract would not be stalled.

# **INTEGRITY PACT(CONTD../-)**

# **COMMITMENTS OF BIDDER(S)**

- 6. The Bidder commits himself to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of his bid or during any pre-contract or post-contract stage in order to secure the contract or in furtherance to secure it and in particular commits himself to the following:-
- 6.1 Bidder will not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favour any material or non-material benefit or other advantage, commission, fee, brokerage or inducement to any official of the Principal / Owner, connected directly or indirectly with the bidding process, or to any person, organization or third party related to the contract in exchange for any advantage in the bidding, evaluation, contracting and implementation of the Contract.
- 6.2 The Bidder further undertakes that he has not given, offered or promised to give, directly or indirectly any bribe, gift, consideration, reward, favour any material or non-material benefits or other advantage, commission, fees, brokerage or inducement to any official of the Principal / Owner or otherwise in procuring the Contract or forbearing to do or having done any act in relation to the obtaining or execution of the Contract or any other Contract with the government for showing or forbearing to show favour or disfavour to any person in relation to the Contract or any other Contract with the Government.
- 6.3 The Bidder will not collude with other parties interested in the contract to impair the transparency, fairness and progress of the bidding process, bid evaluation, contracting and implementation of the contract.
- 6.4 The Bidder will not accept any advantage in exchange for any corrupt practice, unfair means and illegal activities.
- 6.5 The Bidder would not enter into conditional contract with any Agent(s), Broker(s) or any other intermediaries wherein payment is made or penalty is levied, directly or indirectly, on success or failure of the award of the contract.
- 6.6 The Bidder commits to refrain from giving any complaint directly or through any other manner without supporting it with full and verifiable facts. Complaint will be processed as per **Guidelines for Handling of Complaints** in vogue. In case the complaint is found to be vexatious, frivolous or malicious in nature, it would be construed as a violation of Integrity Pact.

# 7. PREVIOUS TRANSGRESSION

- 7.1 The Bidder declares that no previous transgression occurred in the last three years immediately before signing of this Integrity Pact with any other company in respect of any corrupt practices envisaged hereunder or with any Public Sector Enterprise in india or any Government Department in India.
- 7.2 If the Bidder makes incorrect statement on this subject, bidder can be disqualified from tender process or the contract and if already awarded, same can be terminated for such reason.

# 8. COMPANY CODE OF CONDUCT

8.1 Bidders are advised to have a company code of conduct (clearly rejecting the use of bribes and other unethical behaviour) and a compliance program for the implementation of the code of conduct throughout the country.

## 9. **SANCTION FOR VIOLATION**

- 9.1 Any breach of the aforesaid provisions by the Bidder or any one employed by him or acting on his behalf (weather with or without the knowledge of the Bidder) or the commission of any offence by the Bidder or any one employed by him or acting on his behalf, as defined in chapter IX of the Indian Penal Code, 1860 or the Prevention of Corruption Act 1988 or any other act enacted for the prevention of corruption shall entitle the Principal / Owner to take all or any one of the following actions, wherever required:-
- 9.1.1 Technical bid of the Bidder will not be opened. Bidder will not be entitled to or given any compensation. However, the proceeding with the other Bidder(s) would continue.
- 9.1.2 Financial bid of the Bidder will not be opened. Bidder will not be entitled to or given any compensation. However, the proceedings with the other Bidder(s) would continue.

#### INTEGRITY PACT(CONTD../-)

- 9.1.3 The Earnest Money Deposit shall stand forfeited either fully or partially, as decided by the principal / owner, in case contract is not awarded to the Bidder and the Principal / Owner shall not be required to assign any reason therefor. For enlisted contractors an amount less than or equal to earnest money deposit as decided by the Principal / Owner shall be deducted from any amount held with the Department / any payment due.
- 9.1.4 To immediately cancel the contract, if already concluded / awarded without any compensation to the Bidder.
- 9.1.5 To encash the Performance Security furnished by the Bidder.
- 9.1.6 To cancel all or any other Contract(s) with the Bidder.
- 9.1.7 To temporarily suspend or temporarily debar / permanently debar the Bidder as per the extant policy.
- 9.1.8 If adequate amount is not available in the present tender / contract, the deficient amount can be recovered from any outstanding payment due to the Bidder from the Principal / Owner in connection with any other contract for any other works / services.
- 9.1.9 If the Bidder or any employee of the Bidder or any person acting on behalf of the Bidder, either directly or indirectly, is closely related to any of the officers of the Principal / Owner, or alternatively if any close relative of an officer of the Principal / Owner has financial interest / stake in the Bidder's firm, the same shall be disclosed by the Bidder at the time of submission of tender. Any failure to disclose the interest involved shall entitle the Principal / Owner to debar the Bidder from the bid process or rescind the contract without payment of any compensation to the Bidder. The term 'close relative' for this purpose would mean spouse whether residing with the Government servant or not, but does not include a spouse separated from the Government servant by a decree or order of a competent Court, son or daughter or step son or step daughter and wholly dependent upon Government servant, but does not include a child or step child who is no longer in any way dependent upon the Government servant or of whose custody the Government servant has been deprived of by or under any law; any other person related, whether by blood or marriage, to the Govt. servant or to the Govt. servant's wife or husband and wholly dependent upon Government servant.
- 9.1.10 The Bidder shall not lend to or borrow any money from or enter into any monetary dealings or transactions, directly or indirectly, with any employee of the Principal / Owner and if he does so, the Principal / Owner shall be entitled forthwith to cancel the contract and all other contracts with the Bidder.
- 9.2 The decision of the Principal / Owner to the effect that a breach of the provisions of this Integrity Pact has been committed by the Bidder shall be final and binding on the Bidder. However, the Bidder can approach the Independent External Monitor(s) (IEMs) appointed for the purposes of this Pact.

## 10. INDEPENDENT EXTERNAL MONITORS (IEMs)

10.1 MoD has appointed the following Independent External Monitors for this pact in consultation with the Central Vigilance Commission:-

| S No | Name of IEM                                      | e-mail id              |
|------|--|------------------------|
| 1.   | Shri Narayan Murthy Ganapathy, IFoS (Retd)       | gana_narayan@yahoo.com |
|      | 3/26, Vipul Khand, Gomtinagar, Lucknow-226010    | Mob-9415147429         |
| 2.   | Shri Lalatendu Mohanti, IPS (Retd), IEM          | L.mohanti@gmail.com    |
|      | Flat No 9367 (Ground Floor & First Floor),       | Mob-9717095659         |
|      | Pocket 9, Sector-C, VasantKuni, New Delhi-110070 |                        |

10.2 Details of Nodal officer nominated by E-in-C's Branch are as follows:-

| S No Name e-mail id |
|---------------------|
|---------------------|

1. Shri Bhavesh Gupta, Director (Contracts)

Mobile No:98681- 45022

dircont1einc-mes@nic.in

#### **INTEGRITY PACT(CONTD../-)**

- 10.3 In case of any complaint with regard to violation of Integrity Pact, either party can approach IEMs with copy to the Nodal Officer and the other party. If any such complaint from Bidder is received by the Principal / Owner, the Principal / Owner shall refer the complaint to the Independent External Monitors for their recommendation / inquiry report.
- 10.4 If the IEMs need to peruse the relevant records of the Principal / Owner and/ or of the Bidder / Contractor in connection with the complaint sent to them, the Principal /Owner and /or the Bidder/ Contractor shall make arrangement for such perusal of records by the IEMs as demanded by them including unrestricted and unconditional access to the project documentation and minutes of meeting. if records / documents of Sub-Contractor(s) are also required to be perused by the IEMs, the Bidder shall make arrangement for such perusal of records by the IEMs as demanded by them. IEMs are under obligation to treat the information and documents of the Principal/Owner and Bidder/ Contractor/ Sub-Contractors with confidentiality.
- 10.5 The task of the IEMs, is to review independently and objectively, any complaint received with regard to violation of Integrity Pact and offer recommendation or carry out inquiry as deemed fit. The IEMs are not subject to any instructions by the representatives of the parties and shall perform their functions neutrally and independently. The report of inquiry, if any, made by IEMs shall be submitted to either of the following for a final and appropriate decision in the matter keeping in view the provision of this Pact:-
  - (a) Engineer-in-Chief in normal cases
  - (b) CVO (MES & BRO) MoD in cases involving vigilance angle

# 11. **EXAMINATION OF BOOKS OF ACCOUNTS**

11.1 In case of any allegation of violation of any provisions of this Integrity Pact or payment of commission, the Principal /Owner or its agencies shall be entitled to examine the Books of Account of the Bidder and the Bidder shall provide necessary information of the relevant financial documents in English and shall extend all possible help for the purpose of such examination.

# 12. LAW AND PLACE OF JURISDICTION

12.1 This pact is subject to Indian Law. The place of performance and jurisdiction is the seat of the Principal / Owner.

# 13. OTHER LEGAL ACTIONS

13.1 The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

# 14. SIGNING OF INTEGRITY PACT ON BEHALF OF BIDDER

- (a) Proprietorship Concern- The Integrity Pact must be signed by the proprietor or by an authorized signatory holding power of attorney signed by the proprietor.
- (b) Partnership firm- The Integrity pact must be signed by all partners or by one or more partner(s) holding power of attorney signed by all partners.
- (c) Limited Liability firm- The Integrity Pact must be signed by all partners or by one or more partner(s) holding power of attorney signed by all partners
- (d) Private Limited/ Limited Company The Integrity Pact must be signed by a representative duly authorized by Board resolution.
- (e) Joint Venture The Integrity Pact must be signed by all partners and members to Joint Venture or by one or more partner(s) holding power or attorney signed by all partners and members to the joint Venture.

# 15. **VALIDITY**

- 15.1 The validity of this Integrity Pact shall be from date of its signing. It expires for the Contractor after the final payment under the contract has been made or till the continuation of Defect liability period, whichever is later and for all other Bidders, till the Contract has been awarded.
- Should one or several provisions of this Pact turn out to be invalid; the remainder of this Pact remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

# **INTEGRITY PACT**

| M/a |  |
|-----|--|
| M/s |  |
|     |  |
|     |  |

# MANNING, OPERATION AND COMPREHENSIVE MAINTENANCE FOR SOLAR PHOTOVOLTAIC (SPV) POWER PLANT UNDER GE (A) SURATGARH

Dear Sir,

- 1. It is hereby declared that MES is committed to follow the principle of transparency, equity and competitiveness in public procurement.
- 2. The subject Notice Inviting Tender (NIT) is an invitation to offer made on the condition that the Bidder will sign the Integrity Pact, which is an integral part of tender/bid documents, failing which the tenderer/bidder will stand disqualified from the tendering process and the bid of the bidder would be summarily rejected.

Yours faithfully,

Chief Engineer Bathinda Zone

File No: 84497/E8

# **INTEGRITY PACT**

Tο

Head Quarters, Chief Engineer Bathinda Zone, Bathinda Mil Stn

# <u>SUB</u>: <u>MANNING, OPERATION AND COMPREHENSIVE MAINTENANCE FOR SOLAR</u> PHOTOVOLTAIC (SPV) POWER PLANT UNDER GE (A) SURATGARH

Dear Sir,

- 1. I/We acknowledge that MES is committed to follow the principles thereof as enumerated in the Integrity Pact enclosed with the tender/bid document.
- 2. I/We agree that the Notice Inviting Tender (NIT) is an invitation to offer made on the condition that I/We will sign the Integrity Pact, which is an integral part of tender document, failing which I/We will stand disqualified from the tendering process. I/We acknowledge that THE MAKING OF THE BID SHALL BE REGARDED AS AN UNCONDITIONAL AND ABSOLUTE ACCEPTANCE of the conditions of the NIT.
- 3. I/We confirm acceptance and compliance with the Integrity Pact in letter and spirit and further agree that execution of the said Integrity Pact shall be separate and distinct from the main contract, which will come into existence when tender/bid is finally accepted by MES. I/We acknowledge and accept the validity of the Integrity Pact, which shall be in line with Para 15 of the enclosed Integrity Pact.
- 4. I/We acknowledge that in the event of my/our failure to sign and accept the Integrity Pact, while submitting the tender / bid, MES shall have unqualified, absolute and unfettered right to disqualify the tender / bid and reject the tender / bid in accordance with terms and conditions of the tender/ bid.

| Yours    | faithfully,   |
|----------|---------------|
| 1 0 01 0 | raiti irairy, |

(Duly authorized signatory of the Bidder)